

Edgar Filing: Artio Global Investors Inc. - Form SC 13G

Artio Global Investors Inc.  
Form SC 13G  
February 14, 2013

SEC 1745  
(02-02)

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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934  
(Amendment No: ) \*

Artio Global Investors Inc.

-----  
(Name of Issuer)

Class A Common Stock

-----  
(Title of Class of Securities)

04315B107

-----  
(CUSIP Number)

December 31, 2012

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(Date of Event Which Requires Filing of this Statement)

Check the appropriate box to designate the rule pursuant to which this Schedule is filed:

- ☐ Rule 13d-1(b)  
☐ Rule 13d-1(c)  
☒ Rule 13d-1(d)

\*The remainder of this cover page shall be filled out for a reporting person's initial filing on this form with respect to the subject class of securities, and for any subsequent amendment containing information which would alter the disclosures provided in a prior cover page.

The information required in the remainder of this cover page shall not be deemed to be "filed" for the purpose of Section 18 of the Securities Exchange Act of 1934 ("Act") or otherwise subject to the liabilities of that section of the Act but shall be subject to all other provisions of the Act (however, see the Notes).

CUSIP No. 04315B107

1. Names of Reporting Persons.

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I.R.S. Identification Nos. of above persons (entities only).

GAM Holding AG  
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2. Check the Appropriate Box if a Member of a Group (See Instructions)  
(a) .....  
(b) .....

3. SEC Use Only

4. Citizenship or Place of Organization

Switzerland  
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Number of	5.	Sole Voting Power
Shares		15,880,844 shares
Beneficially	6.	Shared Voting Power
Owned by		
Each Reporting		-----
Person With*	7.	Sole Dispositive Power
		15,880,844 shares
	8.	Shared Dispositive Power

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9. Aggregate Amount Beneficially Owned by Each Reporting Person

15,880,844 shares  
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10. Check if the Aggregate Amount in Row (9) Excludes Certain Shares  
(See Instructions)

11. Percent of Class Represented by Amount in Row (9)

26.48% based on 59,983,543 outstanding Class A common shares  
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12. Type of Reporting Person (See Instructions) HC  
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Item 1.

(a) Name of Issuer Artio Global Investors Inc.  
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(b) Address of Issuer's Principal Executive Offices  
330 Madison Avenue New York, NY 10017

Item 2.

(a) Name of Person Filing  
GAM Holding AG  
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Address of Principal Business Office or, if none, Residence

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(b) Klaustrasse 10, 8034 Zurich, Switzerland  
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(c) Citizenship  
Switzerland  
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(d) Title of Class of Securities  
Class A Common Stock  
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(e) CUSIP Number  
04315B107  
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Item 3. If this statement is filed pursuant to ss.ss.240.13d-1(b) or 240.13d-2(b) or (c), check whether the person filing is a:

- ☐ (a) Broker or dealer registered under section 15 of the Act (15 U.S.C. 78o).
- ☐ (b) Bank as defined in section 3(a)(6) of the Act (15 U.S.C. 78c).
- ☐ (c) Insurance company as defined in section 3(a)(19) of the Act (15 U.S.C. 78c).
- ☐ (d) Investment company registered under section 8 of the Investment Company Act of 1940 (15 U.S.C 80a-8).
- ☐ (e) An investment adviser in accordance with ss.240.13d-1(b)(1)(ii)(E);
- ☐ (f) An employee benefit plan or endowment fund in accordance with ss.240.13d-1(b)(1)(ii)(F);
- ☐ (g) A parent holding company or control person in accordance with ss.240.13d-1(b)(1)(ii)(G);
- ☐ (h) A savings associations as defined in Section 3(b) of the Federal Deposit Insurance Act (12 U.S.C. 1813);
- ☐ (i) A church plan that is excluded from the definition of an investment company under section 3(c)(14) of the Investment Company Act of 1940 (15 U.S.C. 80a-3);
- ☐ (j) Group, in accordance with ss.240.13d-1(b)(1)(ii)(J).

Item 4. Ownership.

Provide the following information regarding the aggregate number and percentage of the class of securities of the issuer identified in Item 1.

(a) Amount beneficially owned: 15,880,844 shares  
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(b) Percent of class: 26.48% based on 59,983,543 outstanding Class A common shares

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(c) Number of shares as to which the person has:

(i) Sole power to vote or to direct the vote 15,880,844 shares

(ii) Shared power to vote or to direct the vote -----

(iii) Sole power to dispose or to direct the 15,880,844 shares -----

(iv) Shared power to dispose or to direct the  
disposition of -----

Item 5. Ownership of Five Percent or Less of a Class

If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than five percent of the class of securities, check the following [ ].

Item 6. Ownership of More than Five Percent on Behalf of Another Person.

Not applicable

Item 7. Identification and Classification of the Subsidiary Which Acquired the Security Being Reported on By the Parent Holding Company or Control Person.

Not applicable

Item 8. Identification and Classification of Members of the Group

Not Applicable

Item 9. Notice of Dissolution of Group

Not Applicable

Item 10. Certification

The following certification shall be included if the statement is filed pursuant to ss.240.13d-1(c): By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of the securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect.

SIGNATURE

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

February 13, 2013

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-----  
Date

/s/ Scott Sullivan

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Signature

Scott Sullivan, Group General Counsel

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Name/Title