Herndon Daniel R Form 4 December 12, 2018

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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**OMB APPROVAL** 

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Herndon Daniel R

2. Issuer Name and Ticker or Trading

Symbol

Home Federal Bancorp, Inc. of

Louisiana [HFBL]

Issuer

(Check all applicable)

C/O HOME FEDERAL BANK, 222

(First)

FLORIDA STREET

3. Date of Earliest Transaction (Month/Day/Year)

12/10/2018

\_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify below)

5. Relationship of Reporting Person(s) to

Chairman of the Board\*\*\*

(Street) 4. If Amendment, Date Original

(Middle)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(City)	(State)	Zip) Table	e I - Non-D	erivative S	Secur	ities Acc	quired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/10/2018		Code V	Amount 2,000	(A) or (D)	Price \$ 31.5	Transaction(s) (Instr. 3 and 4) 81,736 (1)	D	
Common Stock							4,555	I	By Spouse
Common Stock							37,393.9451 (2)	I	By 401(k) Plan
Common Stock							10,681.6306	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

### Edgar Filing: Herndon Daniel R - Form 4

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SEC 1474 (9-02)

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)			7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 14.7					01/31/2017(3)	01/31/2022	Common Stock	27,707
Employee Stock Option (Right to Buy)	\$ 23					<u>(4)</u>	10/26/2025	Common Stock	8,000

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
1 6	Director	10% Owner	Officer	Other			
Herndon Daniel R C/O HOME FEDERAL BANK 222 FLORIDA STREET SHREVEPORT, LA 71105	X		Chairman of the Board***				

### **Signatures**

/s/James R. Barlow by P.O.A. for Daniel R. Herndon

\*\*Signature of Reporting Person Date

Reporting Owners 2

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Includes 2,000 shares granted pursuant to the 2014 Stock Incentive Plan which reflect the unvested portion of a grant award originally
- (1) covering 5,000 shares that commenced vesting at a rate of 20% per year on October 26, 2016 and 65,490 shares held jointly with the reporting person's spouse.
- (2) Reflects units which represent share and cash interests in the Issuer's stock fund in the 401(k) Plan. Based on a report dated December 10, 2018.
- (3) The options vested at a rate of 20% per year commencing on January 31, 2013.
- (4) The options are vesting at a rate of 20% per year commencing on October 26, 2016.

#### **Remarks:**

\*\*\*Also serves as the Issuer's Special Projects Manager

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.