

Edgar Filing: Home Federal Bancorp, Inc. of Louisiana - Form 4

Home Federal Bancorp, Inc. of Louisiana
Form 4
February 02, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
Herndon Daniel R

2. Issuer Name and Ticker or Trading
Symbol
Home Federal Bancorp, Inc. of
Louisiana [HFBL]

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

(Last) (First) (Middle)
C/O HOME FEDERAL BANK, 222
FLORIDA STREET

3. Date of Earliest Transaction
(Month/Day/Year)
01/31/2017

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below) Executive Chairman

(Street)

4. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

SHREVEPORT, LA 71105

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D) Price			
Common Stock	01/31/2017		F ⁽¹⁾		832	D \$ 27.66	84,418 ⁽²⁾	D	
Common Stock							4,555	I	By Spouse
Common Stock							35,757.5115 ⁽³⁾	I	By 401(k) Plan
Common Stock							9,032.6968 ⁽⁴⁾	I	By ESOP

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 14.7					01/31/2017 ⁽⁵⁾	Common Stock	27,707
Employee Stock Option (Right to Buy)	\$ 23					⁽⁶⁾	Common Stock	8,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Herndon Daniel R C/O HOME FEDERAL BANK 222 FLORIDA STREET SHREVEPORT, LA 71105	X		Executive Chairman	

Signatures

/s/Clyde D. Patterson, by P.O.A. for Daniel R.
Herndon

02/02/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Disposition solely to meet tax withholding obligation for distribution from Recognition and Retention Plan.

Includes 4,000 shares granted pursuant to the 2014 Stock Incentive Plan which reflect the unvested portion of a grant award originally

(2) covering 5,000 shares that commenced vesting at a rate of 20% per year on October 26, 2016 and 64,815 shares held jointly with the reporting person's spouse.

(3) Reflects units which represent share and cash interests in the Issuer's stock fund in the 401(k) Plan. Based on a report dated February 1, 2017.

(4) Includes shares allocated to the reporting person's account in the ESOP since the last filed Form 4.

(5) The options vested at a rate of 20% per year commencing on January 31, 2013.

(6) The options are vesting at a rate of 20% per year commencing on October 26, 2016.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.