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MORGAN GROUP HOLDING CO
Form 8-K
November 13, 2006

SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

FORM 8-K

CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d)
OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of Earliest Event Reported) November 13, 2006

MORGAN GROUP HOLDING CO.

(Exact Name of Registrant as Specified in its Charter)

Delaware

333-73996

13-4196940

(State of other
Jurisdiction of
Incorporation)

(Commission File
Number)

(IRS Employer
Identification)

401 Theodore Fremd Avenue Rye, New York 10580

(Address of Principal Executive Offices) Zip Code

Registrant's Telephone Number, Including Area Code: 914-921-1877

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 DFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4 (c) under the Exchange Act (17 CFR 240.13e-4(c))