

Enservco Corp
Form 4
June 20, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
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(Print or Type Responses)

1. Name and Address of Reporting Person *
**CROSS RIVER CAPITAL
MANAGEMENT LLC**

(Last) (First) (Middle)

31 BAILEY AVENUE, UNIT D

(Street)

RIDGEFIELD, CT 06877

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

Enservco Corp [ENSV]

3. Date of Earliest Transaction
(Month/Day/Year)

06/16/2017

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☒ 10% Owner
☐ Officer (give title below) ☐ Other (specify
below)

6. Individual or Joint/Group Filing(Check
Applicable Line)

☐ Form filed by One Reporting Person
☒ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$.005 par value	06/16/2017		P	64,566 A	\$ 0.393 9,490,901	D ⁽¹⁾	
Common Stock, \$.005 par value	06/16/2017		P	0 A	\$ 0.393 9,490,901	I	See Footnote (2)
Common Stock, \$.005 par value	06/19/2017		P	61,516 A	\$ 0.3886 9,552,417	D ⁽¹⁾	

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Common Stock, \$0.005 par value	06/19/2017	P	0	A	\$ 0.3886	9,552,417	I	See Footnote (2)
Common Stock, \$0.005 par value	06/20/2017	P	57,700	A	\$ 0.3895	9,610,117	D (1)	
Common Stock, \$0.005 par value	06/20/2017	P	0	A	\$ 0.3895	9,610,117	I	See Footnote (2)
Common Stock, \$0.005 par value						73,900	D (3)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
	X	X		

CROSS RIVER CAPITAL MANAGEMENT LLC
31 BAILEY AVENUE
UNIT D
RIDGEFIELD, CT 06877

Cross River Management LLC
31 BAILEY AVENUE
UNIT D
RIDGEFIELD, CT 06877

Cross River Partners LP
C/O CROSS RIVER CAPITAL MANAGEMENT LLC
31 BAILEY AVENUE, UNIT D
RIDGEFIELD, CT 06877

MURPHY RICHARD
C/O CROSS RIVER CAPITAL MANAGEMENT LLC
31 BAILEY AVENUE, UNIT D
RIDGEFIELD, CT 06877

X

X

X

X

Signatures

Cross River Capital Management LLC, By: /s/ Richard Murphy, Managing Member

06/20/2017

__Signature of Reporting Person

Date

Cross River Management LLC, By: /s/ Richard Murphy, Managing Member

06/20/2017

__Signature of Reporting Person

Date

Cross River Partners LP, By:/s/ Richard Murphy, Managing Member of Cross River Capital Management,LLC, its General Partner

06/20/2017

__Signature of Reporting Person

Date

/s/ Richard Murphy

06/20/2017

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The reported securities are directly owned by Cross River Partners LP.

The reported securities are directly owned by Cross River Partners LP (the "Partnership"), a limited partnership whose general partner is Cross River Capital Management LLC (the "General Partner"), and may be deemed indirectly beneficially owned by the General Partner and by Cross River Management LLC, as the investment manager of the Partnership (the "Investment Manager"). The reported securities

(2) may also be deemed indirectly beneficially owned by Richard Murphy, as Managing Member of both the General Partner and the Investment Manager. The Reporting Persons disclaim beneficial ownership of the reported securities except to the extent of their pecuniary interest therein, and this report shall not be deemed an admission that such Reporting Persons are the beneficial owners of the securities for purposes of Section 16 of the Securities Exchange Act of 1934, as amended, or for any other purpose.

(3) These securities are directly owned by Richard Murphy, who is a Reporting Person.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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