

Apollo Global Management LLC  
Form 4  
May 03, 2017

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
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burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**TIGER GLOBAL MANAGEMENT  
LLC**

(Last) (First) (Middle)

**9 WEST 57TH STREET, 35TH  
FLOOR**

(Street)

**NEW YORK, NY 10019**

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
**Apollo Global Management LLC  
[APO]**

3. Date of Earliest Transaction  
(Month/Day/Year)  
**05/01/2017**

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_X\_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_ Form filed by One Reporting Person  
\_\_X\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3)                                      | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|----------------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------|-------------------------------------------------------------------|
| Class A<br>shares<br>representing<br>limited<br>liability co.<br>interests | 05/01/2017                              | 05/01/2017                                                  | P                                    | 295,500                                                                 | A<br>\$<br>26.728<br>(2)                                                                                           | 30,029,840                                                              | I<br>See<br>Footnote<br>(1)                                       |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
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SEC 1474  
(9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2. Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4. Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of<br>Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3,<br>4, and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) | 7. Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3 and 4) | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Nu<br>Deriv<br>Secur<br>Bene<br>Own<br>Follo<br>Repor<br>Trans<br>(Instr |
|-----------------------------------------------------|--------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|---------------------------------------------------------------------------|-----------------------------------------------------|-----------------------------------------------------------------------------|
|                                                     |                                                                    |                                         |                                                             | Code                                 | V (A) (D)                                                                                                          | Date<br>Exercisable                                            | Expiration<br>Date                                                        | Title                                               | Amount<br>or<br>Number<br>of<br>Shares                                      |

## Reporting Owners

| Reporting Owner Name / Address                                                                                    | Relationships |           |         |       |
|-------------------------------------------------------------------------------------------------------------------|---------------|-----------|---------|-------|
|                                                                                                                   | Director      | 10% Owner | Officer | Other |
| TIGER GLOBAL MANAGEMENT LLC<br>9 WEST 57TH STREET<br>35TH FLOOR<br>NEW YORK, NY 10019                             |               | X         |         |       |
| Coleman Charles P III<br>C/O TIGER GLOBAL MANAGEMENT, LLC<br>9 WEST 57TH STREET, 35TH FLOOR<br>NEW YORK, NY 10019 |               | X         |         |       |
| Fixel Lee<br>C/O TIGER GLOBAL MANAGEMENT, LLC<br>9 WEST 57TH STREET, 35TH FLOOR<br>NEW YORK, NY 10019             |               | X         |         |       |
| SHLEIFER SCOTT L<br>C/O TIGER GLOBAL MANAGEMENT, LLC<br>9 WEST 57TH STREET, 35TH FLOOR<br>NEW YORK, NY 10019      |               | X         |         |       |

## Signatures

Tiger Global Management, LLC, By: /s/ Anil L. Crasto, Chief Operating Officer

05/03/2017

\_\_\_\_Signature of Reporting Person

Date

By: /s/ Charles P. Coleman, III

05/03/2017

## Edgar Filing: Apollo Global Management LLC - Form 4

\_\_Signature of Reporting Person

Date

By: /s/ Lee Fixel

05/03/2017

\_\_Signature of Reporting Person

Date

By: /s/ Scott Shleifer

05/03/2017

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The Class A shares representing limited liability company interests of the Issuer are held in the accounts of private investment funds managed by Tiger Global Management, LLC ("Tiger Global") and may be deemed to be beneficially owned by (i) Tiger Global; (ii) Charles P. Coleman, III ("Coleman"), a partner and portfolio manager of Tiger Global; (iii) Scott Shleifer ("Shleifer"), a partner and portfolio manager of Tiger Global and (iv) Lee Fixel ("Fixel"), a partner and portfolio manager of Tiger Global. Each of Tiger Global, Coleman, Shleifer and Fixel disclaim beneficial ownership of the reported securities except to the extent of his or its pecuniary interest therein, and affirmatively disclaim being a "group" for purposes of Section 16 of the Securities Exchange Act of 1934, as amended (the "Exchange Act").

(1) This transaction was executed in multiple trades ranging from \$26.360 to \$26.900. The price reported reflects the weighted average purchase price. The Reporting Persons hereby undertake to provide upon request to the Securities and Exchange Commission staff, the Issuer or a security holder of Issuer full information regarding the number of shares and prices at which the transaction was effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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