## Edgar Filing: THERMOGENESIS CORP - Form 3/A

#### THERMOGENESIS CORP

Form 3/A March 01, 2006

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

**OMB APPROVAL** 

**OMB** Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

 **GRUBER & MCBAINE** CAPITAL MANAGEMENT

LLC

(First)

(Middle)

Statement

(Month/Day/Year) 02/06/2006

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol THERMOGENESIS CORP [KOOL]

(Last)

4. Relationship of Reporting

Person(s) to Issuer

Filed(Month/Day/Year)

02/27/2006

50 OSGOOD PLACE

(Street)

10% Owner Director Officer

(Check all applicable)

\_X\_\_ Other (give title below) (specify below) Investment Advisor

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

5. If Amendment, Date Original

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

SAN FRANCISCO, Â CAÂ 94133

(State)

1. Title of Security

(City)

(Instr. 4)

(Zip)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

**Table I - Non-Derivative Securities Beneficially Owned** 

Ownership (Instr. 5) Direct (D)

or Indirect (I) (Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially

owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

(Instr. 4)

**Expiration Date** (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying **Derivative Security** 

Conversion or Exercise Price of Derivative

Ownership Form of Derivative

6. Nature of Indirect Beneficial Ownership (Instr. 5)

(Instr. 4)

Date **Expiration Title** Exercisable Date

Amount or Number of

Security: Security Direct (D)

## Edgar Filing: THERMOGENESIS CORP - Form 3/A

Shares

or Indirect
(I)
(Instr. 5)

## **Reporting Owners**

**Reporting Owner Name / Address** 

Relationships

Director 10% Owner Officer Other

GRUBER & MCBAINE CAPITAL MANAGEMENT LLC 50 OSGOOD PLACE SAN FRANCISCO, CAÂ 94133

Â

Inves

Investment Advisor

# **Signatures**

GRUBER & MCBAINE CAPITAL MANAGEMENT, LLC By: /s/ J. Patterson McBaine, J. Patterson McBaine, Manager

03/01/2006

\*\*Signature of Reporting Person

Date

/s/ Jon D. Gruber

03/01/2006

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

### No securities are beneficially owned

- \* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Â

## Remarks:

Filer was never subject to Section 16 during filing period and previous F3 & F4 filed in error Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2