FINISH LINE INC /IN/ Form 4

March 29, 2017

### FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL OMB** 

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Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person \* Sutera Albert J.

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last)

(City)

(First)

(Street)

(State)

(Middle)

(7:m)

3. Date of Earliest Transaction

FINISH LINE INC /IN/ [FINL]

(Month/Day/Year) 03/28/2016

Director 10% Owner

X\_ Officer (give title \_ Other (specify below)

below) EVP, CITO

(Check all applicable)

3308 N. MITTHOEFFER ROAD

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**INDIANAPOLIS, IN 46235** 

(City)	(State) (A	Table Table	e I - Non-Do	erivative S	ecurit	ies Acq	uired, Disposed o	of, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned	Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership	
			Code V	Amount	(A) or (D)	Price	Following Reported Transaction(s) (Instr. 3 and 4)	(Instr. 4)	(Instr. 4)
Class A Common Stock	03/28/2016		A	4,151 (1)	A	\$0	4,151	D	
Class A Common Stock	03/27/2017		A	16,234 (2)	A	\$ 0	20,385	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of or Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and A Underlying S (Instr. 3 and
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title
Stock Option (Right to Buy)	\$ 20.47	03/28/2016		A	42,415	<u>(3)</u>	03/28/2026	Class A Common Stock
Performance-Based Restricted Stock	<u>(4)</u>	03/28/2016		A	6,227	<u>(4)</u>	03/28/2019	Class A Common Stock
Dividend Equivalent Rights	<u>(5)</u>	06/13/2016 <u>(6)</u>		A	128	<u>(5)</u>	<u>(5)</u>	Class A Common Stock
Performance-Based Restricted Stock	<u>(7)</u>	03/27/2017		A	16,234	<u>(7)</u>	03/27/2020	Class A Common Stock

## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
reporting of more runner, remarks	Director	10% Owner	Officer	Other		
Sutera Albert J. 3308 N. MITTHOEFFER ROAD INDIANAPOLIS, IN 46235			EVP, CITO			

## **Signatures**

/s/ Christopher C. Eck, as attorney-in-fact for Albert J.
Sutera

03/29/2017

\*\*Signature of Reporting Person Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) All the reported shares of restricted stock cliff vest in full on 3/28/2019.
- (2) All the reported shares of restricted stock cliff vest in full on 3/27/2020.
- (3) The options vest on the following schedule: 4,241 (10%) on 3/28/2017; 8,483 (20%) on 3/28/2018; 12,725 (30%) on 3/28/2019; and 16,966 (40%) on 3/28/2020.

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Each share of performance-based restricted stock represents a contingent right to receive one share of Class A Common Stock. The shares of performance-based restricted stock vest upon achieving certain performance goals relating to the compound annual growth rate of The

- (4) Finish Line, Inc.'s earnings per share, measured over a performance period including fiscal years 2017, 2018 and 2019. Dividend equivalent rights accrue with respect to these shares of performance-based restricted stock when and as dividends are paid on Class A Common Stock.
- The reported dividend equivalent rights accrued on the reporting person's performance-based restricted stock and will become vested proportionately with the performance-based restricted stock to which they relate. Each dividend equivalent right is the economic equivalent of one share of Class A Common Stock.
- (6) The reported dividend equivalent rights reflect the aggregate accruals for quarterly dividends paid by The Finish Line, Inc. from the initial grant date for the performance-based restricted stock to which the dividend equivalent rights relate up to the date of this ownership report.
  - Each share of performance-based restricted stock represents a contingent right to receive one share of Class A Common Stock. The shares of performance-based restricted stock vest upon achieving certain performance goals relating to the compound annual growth rate of The
- (7) Finish Line, Inc.'s earnings per share and to consolidated enterprise sales growth, measured over a performance period including fiscal years 2018, 2019 and 2020. Dividend equivalent rights accrue with respect to these shares of performance-based restricted stock when and as dividends are paid on Class A Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.