Edgar Filing: MONARCH CASINO & RESORT INC - Form 4/A

MONARCH CASINO & RESORT INC

Form 4/A

November 12, 2015

FΟ	R	M	4	T
----	---	---	---	---

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

OMB

Check this box if no longer

Washington, D.C. 20549

3235-0287 Number:

January 31,

2005

OMB APPROVAL

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Estimated average burden hours per response... 0.5

Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Expires:

See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Rowan Ronald

Symbol MONARCH CASINO & RESORT

(Check all applicable)

(Last) (First) (Middle) 3. Date of Earliest Transaction

Director 10% Owner X_ Officer (give title Other (specify

(Month/Day/Year) 11/09/2015

INC [MCRI]

Chief Financial Officer

3800 S. VIRGINIA STREET, EXECUTIVE OFFICES

(Street)

4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

11/12/2015

Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

below)

RENO, NV 89502

(City)

(State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned Following

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) or

Reported Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price

Common Stock

38,214 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: MONARCH CASINO & RESORT INC - Form 4/A

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)			6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amou or Numb of Sha
Option/right to buy	\$ 8.78	11/09/2015		S		2,067	06/19/2015	06/19/2022	Common Stock	2,06
Option/right to buy	\$ 8.78	11/10/2015		S		23,299	06/19/2015	06/19/2022	Common Stock	23,2
Option/right to buy	\$ 22.1						06/19/2018	06/19/2025	Common Stock	33,3
Option/right to buy	\$ 9.56						06/17/2014	06/17/2021	Common Stock	33,3
Option/right to buy	\$ 8.78						06/19/2015	06/19/2022	Common Stock	7,96
Option/right to buy	\$ 16.95						06/19/2016	06/19/2023	Common Stock	33,3
Option/right to buy	\$ 15.6						06/19/2017	06/19/2024	Common Stock	33,3

Reporting Owners

Reporting Owner Name / Address	Relationships

Director 10% Owner Officer Other

Rowan Ronald 3800 S. VIRGINIA STREET EXECUTIVE OFFICES RENO, NV 89502

Chief Financial Officer

Signatures

Ronald Rowan 11/12/2015

**Signature of Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This Form 4/A is being filed to correct this amount which was erroneously reported as \$8.78 in the original Form 4 filing. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Reporting Owners 2

Edgar Filing: MONARCH CASINO & RESORT INC - Form 4/A

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.