ALEXION PHARMACEUTICALS INC

Form 4 June 09, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

Check this box

if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

3235-0287 Number: January 31, Expires:

2005

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OMB APPROVAL

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Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

Goff Brian

2. Issuer Name and Ticker or Trading

Symbol ALEXION PHARMACEUTICALS 5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

EVP & Chief Commercial Officer

INC [ALXN]

(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 06/07/2017

Director 10% Owner Other (specify X_ Officer (give title

C/O ALEXION PHARMACEUTICALS, INC., 100

COLLEGE STREET

(Street)

(State)

(Month/Day/Year)

4. If Amendment, Date Original Filed(Month/Day/Year)

Code

(Instr. 8)

Code V

6. Individual or Joint/Group Filing(Check

Applicable Line)

below)

X Form filed by One Reporting Person Form filed by More than One Reporting

NEW HAVEN, CT 06510

1.Title of 2. Transaction Date 2A. Deemed

(City)

Security

(Instr. 3)

(Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 3.

(D)

(Instr. 3, 4 and 5)

4. Securities Acquired 5. Amount of Transaction(A) or Disposed of

6. Ownership 7. Nature of Form: Direct Indirect Securities Beneficially (D) or Beneficial Indirect (I) Ownership Following (Instr. 4) (Instr. 4)

Reported (A) Transaction(s)

Owned

(Instr. 3 and 4) Price Amount (D)

Common

\$.0001 per share

Stock, par value

06/07/2017

14,719 A

(1)

\$0 14,719

D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Execution Date, if

(Month/Day/Year)

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of definition of the first state of the f		te	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Option to Purchase Common Stock	\$ 100.14	06/07/2017		A	21,750 (2)	06/07/2018	06/07/2027	Common Stock, par value \$.0001 per share	21,750

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		

Goff Brian

C/O ALEXION PHARMACEUTICALS, INC. 100 COLLEGE STREET

NEW HAVEN, CT 06510

EVP & Chief Commercial Officer

Signatures

Michael V. Greco, Attorney-in-Fact for Brian Goff

06/09/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Award of Restricted Stock Units under the 2017 Incentive Plan. 25% vest on each anniversary of the grant date.
- (2) Award of Stock Options under the 2017 Incentive Plan. 25% vest on the 1-year anniversary of the grant date, and 1/16 vest every 90 days thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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