ALLISON JOHN W
Form 4
January 04, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *
ALLISON JOHN W

(Last) (First) (Middle)

P.O. BOX 966

(Street)

CONWAY, AR 72033

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading Symbol
HOME BANCSHARES INC [HOMB]

3. Date of Earliest Transaction (Month/Day/Year)
01/02/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

__X__ Director _____ 10% Owner
__X__ Officer (give title below) _____ Other (specify below)
Chairman

6. Individual or Joint/Group Filing(Check Applicable Line)
_X_ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Common Stock	12/05/2017		G	V	6,250	D	$ 0	4,962,146	D	
Common Stock	12/19/2017		G	V	300	D	$ 0	4,961,846	D	
Common Stock	12/26/2017		G	V	9,522	D	$ 0	4,952,324	D	
Common Stock	01/02/2018		M		9,504	A	$ 4.3	4,961,828	D	
Common Stock	01/02/2018		M		71,280	A	$ 4.27	5,033,108	D	

Common Stock	01/02/2018		M	1,425	A	$ 2.46	5,034,533	D	
Common Stock	01/02/2018		M	1,425	A	$ 2.66	5,035,958	D	
Common Stock	01/02/2018		F	15,329	D	$ 23	5,020,629	D	
Common Stock							13,983.6664 (1)	I	By 401(k)
Common Stock							67,328	I	By Capital Buyers
Common Stock							16,272	I	By IRA
Common Stock							855,360	I	By wife
Common Stock - Restricted							260,000 (2) (3)	D	
Common Stock - Performance Based							112,500 (4)	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(*e.g.*, puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	$ 2.46	01/02/2018		M			1,425	(5)	(5)	Common Stock	1,425
Stock Option	$ 2.66	01/02/2018		M			1,425	(6)	(6)	Common Stock	1,425

Stock Option	$ 4.27	01/02/2018	M	71,280	(7)	01/17/2018	Common Stock	71,280
Stock Option	$ 4.3	01/02/2018	M	9,504	(8)	01/09/2018	Common Stock	9,504
Stock Option	$ 8.62				01/18/2014	01/17/2023	Common Stock	100,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ALLISON JOHN W P.O. BOX 966 CONWAY, AR 72033	X		Chairman	

Signatures

/s/John W. Allison by Rachel Wesson	01/04/2018
**Signature of Reporting Person	Date

Explanation of Responses:

***** If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

****** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Includes 139.2004 shares acquired through the Home BancShares, Inc. 401(k) Plan since the reporting person's last filing.

(2) Restricted Stock granted on January 25, 2016 will "cliff" vest 100% three years from award date.

(3) Restricted Stock granted on January 16, 2015 will "cliff" vest 100% three years from award date.

(4) The Performance Stock awarded on February 22, 2017 will cliff vest 100% beginning on the third anniversary of the date that the performance goal is met. The performance goal will be met as of the end of the fiscal quarter when either the Company's total assets equal or exceed $12.5 million or the Company has averaged $0.315 diluted earnings per share for four consecutive quarters or $1.26 total diluted earnings per share over a period of four consecutive quarters within three years of the award date.

(5) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2004 and would have expired on December 31, 2014.

(6) The option is exercisable in five equal annual installments and expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2005 and would have expired on December 31, 2015.

(7) The option became exercisable in five equal annual installments beginning on January 18, 2009.

(8) The option became exercisable in five equal annual installments beginning on January 10, 2009.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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