Edgar Filing: Kayne Anderson MLP Investment CO - Form 3

Kayne Anderson MLP Investment CO Form 3 November 18, 2016

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

(Print or Type Responses)

| Name and Address of Reporting Person * Â Voya Financial, Inc. | | | 2. Date of Event Requiring Statement (Month/Day/Year) | | 3. Issuer Name and Ticker or Trading Symbol Kayne Anderson MLP Investment CO [KYN] | | | |
|--|---------|--|---|---|--|--|---|--|
| (Last) | (First) | (Middle) | 11/09/2016 | Ó | 4. Relationship of Reporting Person(s) to Issuer | | g | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| 230 PARK AVENUE | | | | | | | | |
| (Street) NEW YORK, NY 10169 | | | (Che | | ck all applicable) | | 6. Individual or Joint/Group | |
| | | | | Director Officer (give title below | er Other | | Filing(Check Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting Person | |
| (City) | (State) | (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned | | | | | | |
| 1.Title of Securi (Instr. 4) | ty | | | 2. Amount o Beneficially (Instr. 4) | | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | | ture of Indirect Beneficial ership :. 5) |
| Series H Mandatory Redeemable Preferred Shares | | | | 320,000 | | I (1) | By S | Subsidiary |
| Series I Mandatory Redeemable Preferred Shares | | | | 200,000 | | I (1) By Subsidiary | | Subsidiary |
| Series J Mandatory Redeemable Preferred Shares | | | | 2,000,000 | I (1) By Subsidiary | | Subsidiary | |
| Reminder: Report on a separate line for each class of securities be owned directly or indirectly. | | | | | S | EC 1473 (7-0 | 2) | |
| Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. | | | | | | | | |

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Edgar Filing: Kayne Anderson MLP Investment CO - Form 3

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security | | 4. Conversion or Exercise | 5. Ownership Form of | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|-----------------|--|-----------|---------------------------|----------------------------|---|
| | | | (Instr. 4) | | Price of | Derivative | |
| | Date Exercisable | Expiration Date | | | | Security: Direct (D) | |
| | | | | Amount or | Security | or Indirect | |
| | | | Title | Number of | | (I) | |
| | | | | Shares | | (T (5) | |

(Instr. 5)

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | |
|--|---------------|-----------|---------|-----|--|--|
| Transfer de la companya de la compan | Director | 10% Owner | Officer | Oth | | |
| Voya Financial, Inc. 230 PARK AVENUE NEW YORK, NY 10169 | Â | ÂX | Â | Â | | |
| Voya Holdings, Inc. ONE ORANGE WAY WINDSOR, CT 06095 | Â | ÂX | Â | Â | | |

Signatures

| /s/ Jean Weng, SVP & Corporate Secretary, Voya Financial, Inc. | 11/18/2016 | |
|--|------------|--|
| **Signature of Reporting Person | Date | |
| /s/ Jean Weng, SVP & Assistant Secretary, Voya Holdings Inc. | 11/18/2016 | |
| **Signature of Reporting Person | Date | |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Most of the securities reported herein are directly beneficially owned by Voya Retirement Insurance and Annuity Company, Voya Insurance and Annuity Company, Security Life of Denver Insurance Company, ReliaStar Life Insurance Company and ReliaStar Life Insurance Company of New York, each a direct or indirect subsidiary of Voya Financial, Inc. and/or Voya Holdings Inc. With respect to the remainder of the securities reported herein, Voya Investment Management, LLC, a subsidiary of Voya Holdings Inc., in its capacity as investment adviser to a third-party owner of such securities, has been delegated certain voting rights pursuant to an investment management contract with such third party, making Voya Investment Management, LLC a beneficial owner of such securities solely for regulatory purposes. Voya Holdings Inc. is a direct subsidiary of Voya Financial, Inc. Voya Financial, Inc. is the indirect beneficial owner of the securities reported herein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2