Edgar Filing: INVESTORS FINANCIAL SERVICES CORP - Form 4

INVESTORS Form 4 July 05, 2007	S FINANCIAL S	ERVICE	S CORP									
FORM	Л									PPROVAL		
		ITIES A			IGE (COMMISSION	OMB Number:	3235-0287				
Check this if no long subject to Section 10 Form 4 or	er STATEN 6.	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES							Expires: January 31, 2005 Estimated average burden hours per response 0.5			
Form 5 obligation may conti <i>See</i> Instru 1(b).	ns Section 17(a) of the 1	Public Ut	ction 16(a) of the Securities Exchange Act of 1934, ablic Utility Holding Company Act of 1935 or Section f the Investment Company Act of 1940								
(Print or Type R	lesponses)											
OATES JAMES M Symbol INVEST				r Name and Ticker or Trading TORS FINANCIAL CES CORP [IFIN]				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
				-				X_Director10% Owner Officer (give titleOther (specify below)				
				endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 				
BOSTON, MA 02116				_				Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	ecurit	ies Acq	uired, Disposed of	f, or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Data (Month/Day/Year)	Executio any	med on Date, if Day/Year)	3. Transactic Code (Instr. 8)	4. Securiti on(A) or Dis (D) (Instr. 3, 4	sposed	of	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
Common Stock	07/02/2007			Code V D	Amount 35,669	(D) D	Price (<u>1</u>)	(insu: 3 and 4) 0	D			
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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Derivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V (A)) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Common Stock (right to buy)	\$ 37.88	07/02/2007		D	5,000	(2)	04/23/2012	Common Stock	5,000
Common Stock (right to buy)	\$ 48.35	07/02/2007		D	5,000	(2)	04/18/2016	Common Stock	5,000
Common Stock (right to buy)	\$ 47.46	07/02/2007		D	3,091	(2)	11/08/2010	Common Stock	3,091
Common Stock (right to buy)	\$ 47.46	07/02/2007		D	1,737	(2)	04/15/2013	Common Stock	1,737
Common Stock (right to buy)	\$ 47.46	07/02/2007		D	1,304	(2)	11/08/2011	Common Stock	1,304
Common Stock (right to buy)	\$ 38.789	07/02/2007		D	707	(2)	04/15/2013	Common Stock	707
Common Stock (right to buy)	\$ 38.789	07/02/2007		D	2,051	(2)	11/08/2011	Common Stock	2,051
Common Stock (right to buy)	\$ 38.789	07/02/2007		D	465	(2)	11/08/2009	Common Stock	465
Common Stock	\$ 42.61	07/02/2007		D	5,000	(2)	04/13/2014	Common Stock	5,000

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(right to buy)								
Common Stock (right to buy)	\$ 45.47	07/02/2007	D	5,000	(2)	04/14/2015	Common Stock	5,000

Reporting Owners

Reporting Owner Name / Address			Relationships						
		Director	10% Owner	Officer	Other				
OATES JAMES M C/O INVESTORS FINANCIAL SERVICE 200 CLARENDON ST BOSTON, MA 02116	S CORP	X							
Signatures									
John E. Henry p/a for James M. Oates	07/05/200	7							
*Signature of Reporting Person	Date								

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Disposed of pursuant to the Agreement and Plan of Merger, dated February 4, 2007 among the Issuer and State Street Corporation in
 (1) exchange for the right to receive 0.906 shares of State Street Corporation Common Stock. On the date of closing shares of State Street Corporation Common Stock closed at \$68.70.
- (2) This option was cancelled on July 2, 2007, the date of the merger of the Issuer into State Street Bank for a cash payment of the excess of \$61.9957 over the exercise price per share of Issuer common stock subject to such option.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.