

STEIN MART INC
Form 5
March 13, 2006

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box if
no longer subject
to Section 16.
Form 4 or Form
5 obligations
may continue.
See Instruction
1(b).
Form 3 Holdings
Reported
Form 4
Transactions
Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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1. Name and Address of Reporting Person *
STEIN JAY

(Last) (First) (Middle)

**1200 RIVERPLACE BLVD, 10TH
FLOOR**

(Street)

2. Issuer Name and Ticker or Trading
Symbol
STEIN MART INC [SMRT]

3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
01/28/2006

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☒ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chairman of the Board

6. Individual or Joint/Group Reporting

(check applicable line)

JACKSONVILLE, FL 32207

(City) (State) (Zip)

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, \$0.01 Par Value	05/19/2005	Â	G	505,051 D \$ 0	14,956,479 I	I	By Stein Ventures Ltd. Partnership
Common Stock, \$0.01 Par Value	05/19/2005	Â	G	5,051 A \$ 0	9,493	I	By Cary Ventures
Common Stock,	03/25/2005	Â	G	100 D \$ 0	16,800	D	Â

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\$0.01 Par
Value

Common
Stock,
\$0.01 Par
Value

07/18/2005

Â

G

100

D

\$ 0

16,700

D

Â

Common
Stock,
\$0.01 Par
Value

08/25/2005

Â

G

100

D

\$ 0

16,600

D

Â

Common
Stock,
\$0.01 Par
Value

03/15/2005

Â

G

200,000

D

\$ 0

31,600

I

By spouse

Common
Stock,
\$0.01 Par
Value

03/15/2005

Â

G

200,000

A

\$ 0

200,000

I

By trust for
benefit of
spouse

Common
Stock,
\$0.01 Par
Value

07/05/2005

Â

G

31,600

D

\$ 0

0

I

By spouse

Common
Stock,
\$0.01 Par
Value

07/05/2005

Â

G

31,600

A

\$ 0

231,600

I

By trust for
benefit of
spouse

Common
Stock,
\$0.01 Par
Value

Â

Â

Â

Â

Â

Â

110,000

I ⁽¹⁾

By daughter

Common
Stock,
\$0.01 Par
Value

Â

Â

Â

Â

Â

Â

110,000

I ⁽²⁾

By daughter

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 2270
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. of of D Se B O
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(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
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Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
STEIN JAY 200 RIVERPLACE BLVD 10TH FLOOR JACKSONVILLE, FL 32207	Â X	Â X	Â Chairman of the Board	Â

/s/ Jay Stein 03/03/2006

Signature of
Reporting Person

Date _____

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Jay Stein, Custodian for Jay Meredith Stein.
- (2) Jay Stein, Custodian for Berry Hattie Stein.

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