Edgar Filing: LOVETT MICHAEL J - Form 3

LOVETT MICHAEL J

Form 3

September 14, 2010

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

OMB Number:

3235-0104

Expires:

response...

January 31, 2005

0.5

Estimated average burden hours per

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement CHARTER COMMUNICATIONS, INC. /MO/ [CHTR] LOVETT MICHAEL J (Month/Day/Year) 09/14/2010 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O CHARTER (Check all applicable) COMMUNICATIONS, INC.. 12405 POWERSCOURT _X_ Director 10% Owner DRIVE _X__ Officer Other (give title below) (specify below) (Street) 6. Individual or Joint/Group President and CEO Filing(Check Applicable Line) _X_ Form filed by One Reporting Person ST. LOUIS, MOÂ 63131 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (Instr. 5) Â Class A Common Stock $152,732 \frac{(1)}{}$ D Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

required to respond unless the form displays a

currently valid OMB control number.

3. Title and Amount of 6. Nature of Indirect 1. Title of Derivative Security 2. Date Exercisable and 5. Securities Underlying (Instr. 4) **Expiration Date** Beneficial Ownership Conversion Ownership (Month/Day/Year) Derivative Security or Exercise Form of (Instr. 5)

Edgar Filing: LOVETT MICHAEL J - Form 3

	Date Exercisable	Expiration Date	(Instr. 4) Title	Amount or Number of Shares	Price of Derivative Security	Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	
Stock Option	(2)	07/27/2020	Class A Common Stock	215,000 (2)	\$ 35.375	D	Â

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

LOVETT MICHAEL J C/O CHARTER COMMUNICATIONS, INC. 12405 POWERSCOURT DRIVE ST. LOUIS, MOÂ 63131

 $\hat{A} X \qquad \hat{A} \qquad \hat{A} \qquad \hat{A} \quad President and CEO \quad \hat{A}$

Signatures

/s/ Michael J. 09/14/2010 Lovett

**Signature of Date
Reporting Person

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock granted 12/16/2009 scheduled to vest in thirds on November 30, 2010, 2011 and 2012.
- Grant of Stock Options on 7/27/2010 under the Charter Communications, Inc. 2009 Stock Incentive Plan; 25% of the aggregate grant vest (2) at March 1, 2011, 2012, 2013 and 2014; such options terminate 10 years from date of grant unless terminated sooner in accordance with the plan or agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. (1)06/29/2018 M 1,02107/01/2016 $^{(2)}$ 07/01/2018 $^{(2)}$ Common Stock 1,021 \$ 0 0 D

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Maxson Patricia

200 E RANDOLPH DR. Chief Human Resources Officer

CHICAGO, IL 60601

Signatures

/s/ Mackenzie K. Phillips, attorney-in-fact for Patricia
Maxson

07/03/2018

Reporting Owners 2

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted share units convert into an equal number of shares of common stock.
- (2) On March 7, 2013, the reporting person was granted 2,043.00 restricted stock units vesting with respect to one-half of the shares on each of July 1, 2016 and July 1, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3