

Edgar Filing: REGENCY CENTERS CORP - Form 4

REGENCY CENTERS CORP  
Form 4  
September 10, 2002

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OMB APPROVAL  
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OMB Number 3235-0287  
Expires: January 31, 2005  
Estimated average burden  
hours per response ..... 0.5  
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U.S. SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject of Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

-----  
1. Name and Address of Reporting Person\*

Stein Jr. Martin E.

-----  
(Last) (First) (Middle)

121 West Forsyth Street, Suite 200

-----  
(Street)

Jacksonville FL 32202

-----  
(City) (State) (Zip)

-----  
2. Issuer Name and Ticker or Trading Symbol

Regency Centers Corporation (REG)

-----  
3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

-----  
4. Statement for Month/Day/Year

August 2002

-----  
5. If Amendment, Date of Original (Month/Day/Year)

=====  
6. Relationship of Reporting Person to Issuer  
(Check all applicable)

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Director  10% Owner  
 Officer (give title below)  Other (specify below)

Chairman and Chief Executive Officer  
 -----

7. Individual or Joint/Group Filing (Check applicable line)

Form filed by one Reporting Person  
 Form filed by more than one Reporting Person

=====  
 Table I -- Non-Derivative Securities Acquired, Disposed of,  
 or Beneficially Owned  
 =====

1. Title of Security (Instr. 3)	2. Trans- action Date (mm/dd/yy)	2A. Deemed Execution Date, if any (mm/dd/yy)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		
			Code	V	Amount	(A) or (D)	Price
Common Stock	08/12/02		M		32,700	A	\$25.25
Common Stock	08/12/02		F		29,199	D	\$30.90
Common Stock	08/12/02		M		15,450	A	\$21.0625
Common Stock	08/12/02		F		12,570	D	\$30.90
Common Stock	08/12/02		M		15,801	A	\$19.8125
Common Stock	08/12/02		F		12,481	D	\$30.90
Common Stock	08/12/02		M		13,553	A	\$24.69

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

(Form 4-07/98)

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Washington, D.C. 20549

FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

Check box if no longer subject of Section 16. Form 4 or Form 5 obligations  
may continue. See Instruction 1(b).

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1. Name and Address of Reporting Person\*

Stein Jr. Martin E.  
-----  
(Last) (First) (Middle)

121 West Forsyth Street, Suite 200

-----  
(Street)

Jacksonville FL 32202  
-----  
(City) (State) (Zip)

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2. Issuer Name and Ticker or Trading Symbol

Regency Centers Corporation (REG)

-----  
3. IRS Identification Number of Reporting Person, if an Entity (Voluntary)

-----  
4. Statement for Month/Day/Year

August 2002

-----  
5. If Amendment, Date of Original (Month/Day/Year)

=====  
6. Relationship of Reporting Person to Issuer  
(Check all applicable)

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[X] Director [ ] 10% Owner  
 [X] Officer (give title below) [ ] Other (specify below)

Chairman and Chief Executive Officer  
 -----

7. Individual or Joint/Group Filing (Check applicable line)

[X] Form filed by one Reporting Person  
 [ ] Form filed by more than one Reporting Person

=====  
 Table I -- Non-Derivative Securities Acquired, Disposed of,  
 or Beneficially Owned  
 =====

1. Title of Security (Instr. 3)	2. Trans- action Date (mm/dd/yy)	2A. Deemed Execution Date, if any (mm/dd/yy)	3. Transaction Code (Instr. 8) ----- Code V	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) or (D)	Amount	Price
Common Stock	08/12/02		F		11,958	\$30.90
Common Stock	08/12/02		M		87,200	\$25.25
Common Stock	08/12/02		F		77,865	\$30.90
Common Stock	08/12/02		M		22,117	Note 1
Common Stock	08/12/02		F		9,168	\$30.90
Common Stock	05/16/02		G	V	1,500	

\* If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Note 1: Settlement of dividend equivalent units in connection with exercise of option.

Note 2: Shares held by The Regency Group (Nevada) Limited Partnership, the sole general partner of which is a wholly-owned subsidiary of The Regency Group, Inc. All of othe outstanding stock of The Regency Group, Inc. is owned by The Regency Square Group II (Nevada) Limited Partnership, the sole general partner of which is a corporation in which all of the

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outstanding stock is owned by Mr. Stein and members of his family.

Note 3: Represents 307,147 shares held by The Regency Group II and 108,235 shares held by Regency Square II. Mr. Stein is a general partner of The Regency Group II and Regency Square II and a trustee of a trust that is also a general partner in those Partnerships.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Responses)

(Over)

(Form 4-07/98)

FORM 4 (continued)

Table II -- Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	3A. Execu- tion Date, if any (Month/ Day/ Year)	4. Trans- action Code (Instr. 8) ----- Code V	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion Date	7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares
Employee stock option (right to buy)	\$25.25	08/12/02		M	32,700	01/14/98 01/14/07	Common Stock 32,700
Employee stock option (right to buy)	\$21.0625	08/12/02		M	15,450	07/29/00 07/29/09	Common Stock 15,450
Employee stock option (right to buy)	\$19.8125	08/12/02		M	15,801	12/14/00 12/14/09	Common Stock 15,801
Employee stock option (right to buy)	\$24.69	08/12/02		M	13,553	12/14/00 12/14/09	Common Stock 13,553
Employee stock option (right to buy)	\$25.25	08/12/02		M	87,200	01/13/98 01/13/07	Common Stock 87,200

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Employee  
stock option  
(right to buy) \$30.90 08/12/02 A V 29,199 08/12/02 01/14/07 Common Stock 29,199  
-----

Employee  
stock option  
(right to buy) \$30.90 08/12/02 A V 12,570 08/12/02 07/29/09 Common Stock 12,570  
-----

1. Title of Derivative Security (Instr. 3)	2. Conver- sion or Exer- cise Price of Deriv- ative Secur- ity	3. Trans- action Date (Month/ Day/ Year)	3A. Execu- tion Date, if any (Month/ Day/ Year)	4. Trans- action Code (Instr. 8) ----- Code V	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) ----- (A) (D)	6. Date Exercisable and Expiration Date (Month/Day/Year) ----- Date Expira- tion	7. Title and Amount of Underlying Securities (Instr. 3 and 4) ----- Amount or Number of Shares
--	---	--	--	--	--	---	--

Employee  
stock option  
(right to buy) \$30.90 08/12/02 A V 12,481 08/12/02 12/14/09 Common Stock 12,481  
-----

Employee  
stock option  
(right to buy) \$30.90 08/12/02 A V 11,958 08/12/02 12/14/09 Common Stock 11,958  
-----

Employee  
stock option  
(right to buy) \$30.90 08/12/02 A V 77,865 08/12/02 01/13/07 Common Stock 77,865  
-----

Dividend  
Equivalent 1 for 1 08/12/02 M 22,117 Note 1 Common Stock 22,117  
=====

Explanation of Responses:

Note 1: Settlement of dividend equivalent units in connection with exercise of option.

/s/ Martin E. Stein, Jr.

September 10, 2002

-----  
Martin E. Stein, Jr.

-----  
Date

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations.

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See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.

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