

INTUIT INC
Form 3
August 05, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | |
|---|---------|--------------------------------------|--|--|
| 1. Name and Address of Reporting Person * | | 2. Date of Event Requiring Statement | 3. Issuer Name and Ticker or Trading Symbol | |
| Â Goodarzi Sasan K | | (Month/Day/Year) | INTUIT INC [INTU] | |
| (Last) | (First) | (Middle) | 08/01/2013 | |
| C/O INTUIT INC.,Â 2700 COAST AVENUE | | | 4. Relationship of Reporting Person(s) to Issuer | 5. If Amendment, Date Original Filed(Month/Day/Year) |
| (Street) | | | (Check all applicable) | |
| MOUNTAIN VIEW,Â CAÂ 94043 | | | <input type="checkbox"/> Director | <input type="checkbox"/> 10% Owner |
| (City) | (State) | (Zip) | <input checked="" type="checkbox"/> Officer | <input type="checkbox"/> Other |
| | | | (give title below) | (specify below) |
| | | | SVP, Consumer Tax | 6. Individual or Joint/Group Filing(Check Applicable Line) |
| | | | | <input checked="" type="checkbox"/> Form filed by One Reporting Person |
| | | | | <input type="checkbox"/> Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|---|--|---|
| Common Stock | 5,290 | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|--|--|---|--|--|---|
| | Date Exercisable | Title | | | |

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| | | Expiration Date | | Amount or Number of Shares | | or Indirect (I) (Instr. 5) | |
|---|----------------|-----------------|--------------|----------------------------|----------|----------------------------|---|
| Non-Qualified Stock Option (right to buy) | Â (1) | 08/08/2018 | Common Stock | 90,000 | \$ 42.78 | D | Â |
| Non-Qualified Stock Option (right to buy) | Â (2) | 07/24/2019 | Common Stock | 23,526 | \$ 56.52 | D | Â |
| Non-Qualified Stock Option (right to buy) | Â (3) | 07/23/2020 | Common Stock | 53,000 | \$ 63.11 | D | Â |
| Restricted Stock Unit | 08/01/2014(4) | Â (5) | Common Stock | 3,333 | \$ (6) | D | Â |
| Restricted Stock Unit (performance-based vesting) | 09/01/2014(7) | Â (5) | Common Stock | 24,000 | \$ (6) | D | Â |
| Restricted Stock Unit (performance-based vesting) | 09/01/2014(8) | Â (5) | Common Stock | 24,000 | \$ (6) | D | Â |
| Restricted Stock Unit (performance-based vesting) (9) | 09/01/2015(10) | Â (5) | Common Stock | 24,657 | \$ (6) | D | Â |
| Restricted Stock Unit (performance-based vesting) (9) | 09/01/2015(11) | Â (5) | Common Stock | 25,107 | \$ (6) | D | Â |
| Restricted Stock Unit (9) | Â (12) | Â (5) | Common Stock | 3,523 | \$ (6) | D | Â |
| Restricted Stock Unit (9) | Â (13) | Â (5) | Common Stock | 9,000 | \$ (6) | D | Â |
| Restricted Stock Unit (performance-based vesting) (9) | 09/01/2016(14) | Â (5) | Common Stock | 43,000 | \$ (6) | D | Â |
| Restricted Stock Unit (performance-based vesting) (9) | 09/01/2016(15) | Â (5) | Common Stock | 47,000 | \$ (6) | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|---------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Goodarzi Sasan K C/O INTUIT INC. 2700 COAST AVENUE MOUNTAIN VIEW, CA 94043 | Â | Â | Â SVP, Consumer Tax | Â |

Signatures

/s/ Benjamin Schwartz, under
power-of-attorney

08/05/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) One third of the options vested on 8/9/2012; thereafter, 2.778% of the options vest monthly such that the options are fully vested on 8/9/2014.
- (2) One third of the options vested on 7/25/2013; thereafter, 2.778% of the options vest monthly such that the options are fully vested on 7/25/2015.
- (3) One third of the options will vest on 7/24/2014; thereafter, 2.778% of the options vest monthly such that the options are fully vested on 7/24/2016.
- (4) Represents vesting date for Restricted Stock Units.
- (5) Restricted Stock Units do not expire; they either vest or are cancelled prior to vest date.
- (6) 1-for-1
- (7) The maximum number of units subject to the award is presented in the table; the number of units that vest may be 0%-100% of this number ("awarded units"), depending upon performance. Following the achievement by the issuer of certain pre-established 3-year operating goals, the awarded units will vest on 9/1/2014. Vested restricted stock units will be paid in an equal number of shares of Intuit Inc. common stock.
- (8) The maximum number of units subject to the award is presented in the table; the number of units that vest may be 0%-100% of this number ("awarded units"), depending upon performance. Following the achievement by the issuer of certain total shareholder return objectives, the awarded units will vest on 9/1/2014. Vested restricted stock units will be paid in an equal number of shares of Intuit Inc. common stock.
- (9) Dividend rights accrue on the underlying shares for this award and settle in cash upon vesting and issuance of those shares.
- (10) The maximum number of units subject to the award is presented in the table; the number of units that vest may be 0%-100% of this number ("awarded units"), depending upon performance. Following the achievement by the issuer of certain pre-established 3-year operating goals, the awarded units will vest on 9/1/2015. Vested restricted stock units will be paid in an equal number of shares of Intuit Inc. common stock.
- (11) The maximum number of units subject to the award is presented in the table; the number of units that vest may be 0%-100% of this number ("awarded units"), depending upon performance. Following the achievement by the issuer of certain total shareholder return objectives, the awarded units will vest on 9/1/2015. Vested restricted stock units will be paid in an equal number of shares of Intuit Inc. common stock.
- (12) 1,761 units will vest on 7/1/2014 and 1,762 units will vest on 7/1/2015.
- (13) One third of the Restricted Stock Units vest on each of 7/1/2014, 7/1/2015, and 7/1/2016.
- (14) The maximum number of units subject to the award is presented in the table; the number of units that vest may be 0%-100% of this number ("awarded units"), depending upon performance. Following the achievement by the issuer of certain pre-established 3-year operating goals, the awarded units will vest on 9/1/2016. Vested restricted stock units will be paid in an equal number of shares of Intuit Inc. common stock.
- (15) The maximum number of units subject to the award is presented in the table; the number of units that vest may be 0%-100% of this number ("awarded units"), depending upon performance. Following the achievement by the issuer of certain total shareholder return objectives, the awarded units will vest on 9/1/2016. Vested restricted stock units will be paid in an equal number of shares of Intuit Inc. common stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.