## Edgar Filing: NORTHERN TRUST CORP - Form 4

Common       Check this box if no longer subject to Section 16.       UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549       OMB APPROVAL OMB 3255-0287.         Check this box if no longer subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Form 4 or Form 5       OMB APPROVAL OMB 3225-0287.       OMB APPROVAL OMB 3225-0287.         Form 5       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Form 4 or Form 5       Statement OF CHANGES IN BENEFICIAL OWNERSHIP OF Form 5       OMB APPROVAL Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may comine. Section 17(a) of the Public Utility Holding Company Act of 1940 (16).       Statement Section 17(a) of the Public Utility Holding Company Act of 1940 (16).       Statement Company Act of 1940 (16).         Print or Type Responses)       1. Name and Address of Reporting Person 2       2. Issuer Name and Ticker or Trading Symbol NORTHERN TRUST CORP (NTRS)       Stelationship of Reporting Person(s) to Issuer         (Law)       (Find)       (Middle)       3. Due of Farinest Transaction (Month/Day/Year)       Director (Month/Day/Year)       Director (Month/Day/Year)         (Steed)       4. If Amendment, Date Original Field/Month/Day/Year)       6. Individual or Joint/Onoup Filing/Chack Applicable Indo       Conder Specify below)         (Che V Amount (D)       State 1- Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned L'fille of Scating       2. Deemed (Month/Day/Year)       3. 4. Scatinities Code V Amount (D) Prece       6. Ownership Transactiones/ Code V Amount (D		TRUST CORP								
FORM 4       UNITED STATES SECURITIES AND EXCHANGES COMMISSION Washington, D.C. 20549       OMB APPROVAL Mainestington, D.C. 20549       OMB Mumbers 2025-0287         Check this box, if no longer subject to Section 16. Form 4 or Form 5       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES       CMB Mumbers Section 17(a) of the Public Utility Holding Company Act of 1935, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 180, of the Public Utility Holding Company Act of 1935, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935, obligations Section 17(a) of the Public Utility Holding Company Act of 1940 1(b).       State Particle Section 17(a) of the Public Utility Holding Company Act of 1935, Section 17(a) of the Public Utility Holding Company Act of 1940 1(b).       State Particle Section 17(a) of the Public Utility Holding Company Act of 1940 1(b).       State Particle Section 17(a) of the Public Utility Holding Company Act of 1940 1(b).       State Particle Section 17(a) of the Public Utility Symbol       State Particle Section 17(a) Of the Public Utility Symbol       State Particle Section 17(a) Of the Public Utility Section 17(a) Observer Section 17(a) Of the Public Utility Section 2000 (Check all applicable)       State 1000000000000000000000000000000000000	Form 4 August 02, 20	013								
Check this box       Washington, D.C. 20549       Number:       3235-0267         Check this box       If no longer       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF       Expires:       January 31         Section 16.       SECURITIES       SECURITIES       Section 17(a) of the Public Utility Holding Company Act of 1935, or Section       Privation 1940		ГЛ							PPROVAL	
if no longer subject to Section 16.       STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16.       Expire:       200 Estimated average provide hours per response         offingations may continue. See Instruction 1(b).       Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations section 17(a) of the Public Utility Holding Company Act of 1940 1(b).       5. Relationship of Reporting Person       0.5         (Print or Type Responses)       1. Name and Address of Reporting Person       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer       Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       5. Relationship of Reporting Person(s) to Issuer       Director below)       Director below)       0.0         50 SOUTH LASALLE STREET       08/02/2013       6. Individual or Joint/Croup Filing(Check Applicable Line) -X. Form filed by One Reporting Person -Form filed		UNITEDS					COMMISSION	•=		
SIATEMENTOF CHAMES IN BENEFICIAL OWNERSHIP OF Estimated average burden hours per response       Estimated average burden hours per response       Centimated average burden hours per response       OF Form 4 or response       Centimated average burden hours per response       OF Form 4 or response       OF Form 5 Form 5 Filed pursuant to Section 16(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction 1(b).       Section 17(a) of the Public Utility Holding Company Act of 1935 or Section see Instruction 1(b).         1. Name and Address of Reporting Person 2. Schreuder Jana R       2. Issuer Name and Ticker or Trading Symbol NORTHERN TRUST CORP [NTRS]       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       5. Relationship of Reporting Person(s) to Issuer         50 SOUTH LASALLE STREET       08/02/2013		or						Expires:		
Find pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations and volume. See Instruction 30(h) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1940 16b.         (Print or Type Responses)       1. Name and Address of Reporting Person <sup>1</sup> 2. Issuer Name and Ticker or Trading Symbol NORTHERN TRUST CORP [NTRS]       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Midtle)       3. Date of Earliest Transaction (Month/Day/Year)       5. Relationship of Reporting Person(s) to Issuer         50 SOUTH LASALLE STREET       08/02/2013       Check all applicable)       Other (specify below)         (City)       (State)       (Zip)       Table I - Non-Derivative Securities Acquired, Disposed of OD ore Beenfcially Owned         1.Title of Security       2. Transaction Date (Zip)       3. 4. Securities Transaction (Instr. 3, 4 and 5)       6. Individual or Joint/Group Filing(Check Applicable)         (Instr. 3)       2. Transaction Date (ADDewned (Distr) (Instr. 3, 4 and 5)       5. Amount of OD ore Beenfcially Owned (Instr. 4, Compone)       6. Ownership Form Direct (Instr. 4, Compone)         (City)       (Konth/Day/Year)       3. 4. Securities Transaction (Instr. 3, 4 and 5)       6. Ownership Form Direct (Instr. 4, Common Stock       5. Amount (D) Price       6. O	subject to Section 10	<b>51A1EM</b> 6.	ENT OF CHAN			CIAL OW	'NERSHIP OF	Estimated average burden hours per		
1. Name and Address of Reporting Person : Schreuder Jana R       2. Issuer Name and Ticker or Trading Symbol       5. Relationship of Reporting Person(s) to Issuer         (Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)       (Check all applicable)         50 SOUTH LASALLE STREET       08/02/2013	obligation may conti <i>See</i> Instru	$\frac{18}{1000}$ Section 17(a)	) of the Public U	tility Hold	ling Com	pany Act o	of 1935 or Section	n		
Schreuder Jana R Symbol NORTHERN TRUST CORP [NTRS] (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 50 SOUTH LASALLE STREET (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) (Street) 4. If Amendment, Date Original Filed(Month/Day/Year) CHICAGO, IL 60603 (City) (State) (Zip) 2. Transaction Date (Zip) (Month/Day/Year) 2. Transaction Pate (Zip) (Month/Day/Year) 3. 4. Securities 3. 4. Securities 5. Amount of Securities	(Print or Type R	Responses)								
(Last)       (First)       (Middle)       3. Date of Earliest Transaction (Month/Day/Year)		Symbol	Symbol			Issuer				
(Month/Day/Year)       (Month/Day/Year) <td< td=""><td></td><td></td><td></td><td></td><td></td><td></td><td colspan="4">(Check all applicable)</td></td<>							(Check all applicable)			
Filed(Month/Day/Year)     Applicable Line)	, <i>,</i> ,				(Month/Day/Year) <u>—X_</u> Offi			e titleOth below)		
Filed(Month/Day/Year)       Applicable Line:		(Street)	4. If Ame	4. If Amendment, Date Original			6. Individual or Joint/Group Filing(Check			
(City)(State)(Zip)Table I - Non-Derivative Securities Acquired, Disposed of, or BeneficialOwned1.Title of Security (Instr. 3)2. Transaction Date (Month/Day/Year)2. A. Deemed Execution Date, if any (Month/Day/Year)3. Execution Date, if any (Month/Day/Year)3. TransactionAcquired (A) or Code (Instr. 8)5. Amount of Securities Disposed of (D) (Instr. 3, 4 and 5)6. Ownership Form: Direct Indirect (I) Owned Following Reported Transaction(s) (Instr. 4)6. Ownership Form: Direct Indirect (I) Ownership (Instr. 4)Common StockCommon Stock	CHICAGO	II 60603	Filed(Mon	nth/Day/Year)	)		Applicable Line) _X_ Form filed by	One Reporting P	erson	
1.Title of Security (Instr. 3)       2. Transaction Date (Month/Day/Year)       2A. Deemed Execution Date, if any (Month/Day/Year)       3.       4. Securities TransactionAcquired (A) or Code       5. Amount of Securities       6. Ownership Form: Direct       7. Nature of Indirect         (Instr. 3)       (Month/Day/Year)       (Month/Day/Year)       3.       4. Securities       5. Amount of Securities       6. Ownership Form: Direct       7. Nature of Indirect         (Instr. 3)       (Month/Day/Year)       (Month/Day/Year)       3.       4. Securities       5. Amount of Securities       6. Ownership Form: Direct       7. Nature of Indirect         (Instr. 3)       (Month/Day/Year)       (Month/Day/Year)       3.       4. Securities       5. Amount of Securities       6. Ownership Form: Direct       Form: Direct       Indirect (I)         (Month/Day/Year)       (Month/Day/Year)       (Instr. 3)       (Instr. 3, 4 and 5)       Owned       Indirect (I)       Ownership         Common Stock       Code       V       Amount       (D)       Price       12,256 (I)       I       By Trust         Common Stock       Common       52,773       D       10,058.13 (I)       10,01(k)			7. \							
Security (Instr. 3)(Month/Day/Year)Execution Date, if any (Month/Day/Year)TransactionAcquired (A) or CodeSecurities Disposed of (D) (Instr. 3, 4 and 5)Form: Direct Beneficially Owned Following Reported Transaction(s)Indirect Beneficial Owned Following (Instr. 4)Indirect Beneficial Owned Following (Instr. 4)Indirect Beneficial Owned Following Reported Transaction(s)Form: Direct Beneficial Owned Following Reported Transaction(s)Indirect Beneficial Owned Following Reported Transaction(s)Form: Direct Beneficial Ownership (Instr. 4)Indirect Beneficial Ownership (Instr. 4)Common StockCommon StockIIBy TrustCommon StockIIGRATCommon Stock (2)IIGRATCommon Stock (2)II401(k)			- 1 a D						•	
Code V Amount (D) Price         (Instr. 3 and 4)           Common Stock         12,256 ( <u>1</u> )         I         By Trust           Common Stock         11,789 ( <u>1</u> )         I         GRAT           Common Stock ( <u>2</u> )         52,773         D         I           Common         10,058.13 ( <u>1</u> )         I         401(k)	Security		Execution Date, if any	Transactio Code	onAcquired Disposed	(A) or l of (D) 4 and 5) (A)	Securities Beneficially Owned Following Reported Transaction(s)	Form: Direct (D) or Indirect (I)	Indirect Beneficial Ownership	
Stock       12,256 (1)       I       By Trust         Common       11,789 (1)       I       GRAT         Common       52,773       D       I         Common       10,058.13 (1)       I       401 (k)	~			Code V	Amount		(Instr. 3 and 4)			
Stock     11,789 (1)     1     GRAT       Common     52,773     D       Common     10,058.13 (1)     I     401 (k)							12,256 <u>(1)</u>	Ι	By Trust	
Stock (2) $52,773$ D         Common $10,058.13 \frac{(1)}{10}$ I $401(k)$							11 <b>,</b> 789 <u>(1)</u>	Ι	GRAT	
- 401(k)							52,773	D		
								I	401(k)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	ate	7. Titl Amou Under Securi (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
Reporting Owner Fund, Frudress	Director	10% Owner	Officer	Other			
Schreuder Jana R 50 SOUTH LASALLE STREET CHICAGO, IL 60603			President / PFS				
Signatures							
Paul A. Bernacki, Attorney-in-Fac Schreuder	et for Jana	a R.	08/02/2013				

<u>\*\*</u>Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) On August 2, 2013, the reporting person's beneficially owned grantor retained annuity trust distributed 1,111 common shares of NTRS to the reporting person's trust as an annuity payment in accordance with the terms of the GRAT instrument.
- (2) Represents stock units payable automatically on a 1-for-1 basis in shares of the Corporation's common stock.
- (**3**) as of 6-30-13

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.