### Edgar Filing: WADDELL FREDERICK H - Form 4

Form 4 July 23, 2009 <b>FORM</b> Check thi if no long subject to Section 10 Form 4 or Form 5 obligation may conti <i>See</i> Instru 1(b).	<b>14</b> UNITED ST s box ger 6. 5 6. 7 7 7 8 6. 7 7 8 8 8 8 8 8 9 9 9 9 9 9 9 9 9 9 9 9	CATES SECUR Was NT OF CHAN ant to Section 10 of the Public Ut 30(h) of the In	Shington, GES IN I SECUR 6(a) of the ility Hold	D.C. 205 BENEFIC ITIES e Securitic ling Comp	<b>49</b> C <b>IAI</b> es Ex pany	<b>COW</b> chang Act of	NERSHIP OF e Act of 1934, f 1935 or Sectio	OMB Number: Expires: Estimated a burden hou response	irs per	
(Print or Type R	Responses)									
WADDELL FREDERICK H Symbol			Name <b>and</b> Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)(First)(Middle)3. Date of 150 S. LA SALLE STREET07/21/20			-				_X_ Director 10% Owner _X_ Officer (give title Other (specify below) below) CEO and President			
			endment, Date Original nth/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check Applicable Line)</li> <li>_X_Form filed by One Reporting Person</li> <li> Form filed by More than One Reporting</li> </ul>			
(City)	(State) (Zij	p) Tabl	a I - Non-D	orivativo S	ocurit	ies Aco	Person uired, Disposed of	f or Bonoficial	lly Owned	
1.Title of Security (Instr. 3)	(Month/Day/Year) H	ransaction Date 2A. Deemed onth/Day/Year) Execution Date, if any (Month/Day/Year)			ies Ac sposed and f (A) or	quired l of 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
Common Stock (1)	07/21/2009		Code V A	Amount 45,135	(D) A	Price \$ 0	117,516	D		
Common Stock							115,510	Ι	By Trust	
Common Stock							120	D		
Common Stock							14,475	I	By Spouse	
Common Stock							29,364 <u>(2)</u>	Ι	401(k)	

#### Edgar Filing: WADDELL FREDERICK H - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) of Disposed of (D (Instr. 3, 4, and 5)	))	Date	7. Title and A Underlying S (Instr. 3 and	Securit
				Code V	(A) (E	Date Exercisable	Expiration Date	Title	Amo Num Shar
Employee Stock Option (right-to-buy)	\$ 57.54	07/21/2009		А	270,808	(3)	07/21/2019	Common Stock	270

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
WADDELL FREDERICK H 50 S. LA SALLE STREET CHICAGO, IL 60603	Х		CEO and President				
Signatures							

Paul A. Bernacki Attorney-in-Fact for Frederick H. Waddell	07/23/2009
<u>**</u> Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents stock units payable automatically on a 1-for-1 basis in shares of the Corporation's common stock.
- (2) as of 6-30-09
- (3) The option becomes exercisable in four equal installments on February 16, 2010, February 16, 2011, February 16, 2012 and February 16, 2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.