### Edgar Filing: SUPERCONDUCTOR TECHNOLOGIES INC - Form 4

#### SUPERCONDUCTOR TECHNOLOGIES INC

Form 4

February 22, 2008

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

**OMB APPROVAL** 

response...

Form 4 or Form 5 obligations may continue.

See Instruction

Check this box

if no longer

subject to

Section 16.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* KAPLAN MARTIN A

2. Issuer Name and Ticker or Trading Symbol

Issuer

SUPERCONDUCTOR TECHNOLOGIES INC [SCON]

(Check all applicable)

5. Relationship of Reporting Person(s) to

(Last)

460 WARD DRIVE

(First)

(Middle)

3. Date of Earliest Transaction

\_X\_\_ Director Officer (give title below)

10% Owner Other (specify

02/20/2008

(Month/Day/Year)

(Street)

4. If Amendment, Date Original

Applicable Line)

X Form filed by One Reporting Person

6. Individual or Joint/Group Filing(Check

(Instr. 4)

Filed(Month/Day/Year)

(Instr. 8)

Form filed by More than One Reporting

Person

**SANTA** 

(City)

BARBARA, CA 93111-2356

(State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

4. Securities TransactionAcquired (A) or Code Disposed of (D) 5. Amount of Securities Beneficially Owned

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial (I) Ownership

(Instr. 4)

Following (A)

Reported Transaction(s)

(Instr. 3 and 4)

Code V Amount (D) Price

(Instr. 3, 4 and 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative 2. Conversion

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if Transaction of Derivative Expiration Date

5. Number

6. Date Exercisable and

7. Title and Amour **Underlying Securit** 

#### Edgar Filing: SUPERCONDUCTOR TECHNOLOGIES INC - Form 4

| Security<br>(Instr. 3)                          | or Exercise<br>Price of<br>Derivative<br>Security |               | any<br>(Month/Day/Year) | Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |         | (Month/Day/Year)    |                    | (Instr. 3 and 4) |                                 |
|---|---|---------------|-------------------------|---|---------|---------------------|--------------------|------------------|---------------------------------|
|   |   |               |                         | Code V  | (A) (D) | Date<br>Exercisable | Expiration<br>Date | Title            | Amo<br>or<br>Num<br>of<br>Share |
| Non-Qualified<br>Stock Option<br>(right to buy) | \$ 5.12   | 02/20/2008(1) |                         | A   | 3,000   | 02/20/2009          | 02/20/2018         | Common<br>Stock  | 3,0                             |

# **Reporting Owners**

| Relationships |
|---------------|
| Kelationships |

KAPLAN MARTIN A 460 WARD DRIVE X SANTA BARBARA, CA 93111-2356

# **Signatures**

By: William J Buchanan For: Martin A Kaplan 02/20/2008

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant vesting 50% on the first anniversary, with the remaining 50% vesting on the second anniversary.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2