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GUGGENHEIM STRATEGIC OPPORTUNITIES FUND

Form N-PX

August 07, 2018

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

FORM N-PX

ANNUAL REPORT OF PROXY VOTING RECORD OF REGISTERED MANAGEMENT
INVESTMENT COMPANY

Investment Company Act file number 811-21982

Guggenheim Strategic Opportunities Fund

(Exact name of registrant as specified in charter)

227 West Monroe Street

Chicago, IL 60606

(Address of principal executive offices) (Zip code)

Amy Lee

227 West Monroe Street

Chicago, IL 60606

(Name and address of agent for service)

Registrant's telephone number, including area code: 312-827-0100

Date of fiscal year end: May 31

Date of reporting period: July 1, 2017 - June 30, 2018

Form N-PX is to be used by a registered management investment company, other than a small business investment company registered on Form N-5 (ss.ss. 239.24 and 274.5 of this chapter), to file reports with the Commission, not later than August 31 of each year, containing the registrant's proxy voting record for the most recent twelve-month period ended June 30, pursuant to section 30 of the Investment Company Act of 1940 and rule 30b1-4 thereunder (17 CFR 270.30b1-4). The Commission may use the information provided on Form N-PX in its regulatory, disclosure review, inspection, and policymaking roles.

A registrant is required to disclose the information specified by Form N-PX, and the Commission will make this information public. A registrant is not required to respond to the collection of information contained in Form N-PX unless the Form displays a currently valid Office of Management and Budget ("OMB") control number. Please direct comments concerning the accuracy of the information collection burden estimate and any suggestions for reducing the burden to the Secretary, Securities and Exchange Commission, 450 Fifth Street, NW, Washington, DC 20549-0609. The OMB has reviewed this collection of information under the clearance requirements of 44 U.S.C. ss. 3507.

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Item 1. Proxy Voting Record.

***** FORM N-Px REPORT *****

ICA File Number: 811-21982
 Reporting Period: 07/01/2017 - 06/30/2018
 GUGGENHEIM STRATEGIC OPPORTUNITIES FUND

===== GUGGENHEIM STRATEGIC OPPORTUNITIES FUND =====

APPROACH RESOURCES INC.

Ticker: AREX Security ID: 03834A103
 Meeting Date: JUN 06, 2018 Meeting Type: Annual
 Record Date: APR 12, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|-----|--|---------|-----------|------------|
| 1.1 | Elect Director James C. Crain | For | For | Management |
| 1.2 | Elect Director Matthew D. Wilks | For | For | Management |
| 2 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| 3 | Approve Omnibus Stock Plan | For | For | Management |
| 4 | Ratify Moss Adams LLP as Auditors | For | For | Management |

 SANDRIDGE ENERGY, INC.

Ticker: SD Security ID: 80007P869
 Meeting Date: JUN 19, 2018 Meeting Type: Proxy Contest
 Record Date: APR 20, 2018

| # | Proposal | Mgt Rec | Vote Cast | Sponsor |
|----|---|----------|-----------|-------------|
| | Management Universal Proxy (White Proxy Card) | None | | |
| 1a | Elect Director Sylvia K. Barnes | For | For | Management |
| 1b | Elect Director Kenneth H. Beer | For | For | Management |
| 1c | Elect Director Michael L. Bennett | For | For | Management |
| 1d | Elect Director William (Bill) M. Griffin, Jr. | For | For | Management |
| 1e | Elect Director David J. Kornder | For | Withhold | Management |
| 1f | Elect Director Bob G. Alexander | None | For | Shareholder |
| 1g | Elect Director Jonathan Christodoro | Withhold | Withhold | Shareholder |
| 1h | Elect Director Nancy Dunlap | None | Withhold | Shareholder |
| 1i | Elect Director Jonathan Frates | Withhold | Withhold | Shareholder |
| 1j | Elect Director Nicholas Graziano | Withhold | Withhold | Shareholder |
| 1k | Elect Director John "Jack" Lipinski | None | For | Shareholder |
| 1l | Elect Director Randolph C. Read | None | For | Shareholder |
| 2 | Amend Shareholder Rights Plan (Poison Pill) | For | Against | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as | For | For | Management |

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| | | | | |
|-----|--|----------|--------------|-------------|
| | Auditors | | | |
| 4 | Advisory Vote to Ratify Named Executive Officers' Compensation | For | Against | Management |
| # | Proposal | Diss Rec | Vote Cast | Sponsor |
| | Dissident Proxy (Gold Proxy Card) | None | | |
| 1.1 | Elect Director Jonathan Frates | For | Did Not Vote | Shareholder |
| 1.2 | Elect Director Nicholas Graziano | For | Did Not Vote | Shareholder |
| 1.3 | Elect Director John "Jack" Lipinski | For | Did Not Vote | Shareholder |
| 1.4 | Elect Director Bob G. Alexander | For | Did Not Vote | Shareholder |
| 1.5 | Elect Director Randolph C. Read | For | Did Not Vote | Shareholder |
| 1.6 | Elect Director Jonathan Christodoro | For | Did Not Vote | Shareholder |
| 1.7 | Elect Director Nancy Dunlap | For | Did Not Vote | Shareholder |
| 2 | Amend Shareholder Rights Plan (Poison Pill) | Against | Did Not Vote | Management |
| 3 | Ratify PricewaterhouseCoopers LLP as Auditors | For | Did Not Vote | Management |
| 4 | Advisory Vote to Ratify Named Executive Officers' Compensation | Against | Did Not Vote | Management |

===== END NPX REPORT

SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

Guggenheim Strategic Opportunities Fund

 By: /s/ Brian Binder

 Name: Brian Binder

 Title: President and Chief Executive Officer

 Date: August 7, 2018
