SUNPOWER CORP Form 8-K November 09, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Form 8-K

Current Report Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): November 4, 2016

SunPower Corporation (Exact name of registrant as specified in its charter)

001-34166 (Commission File Number)

Delaware 94-3008969 (State or other jurisdiction (I.R.S. Employer of incorporation) Identification No.)

77 Rio Robles, San Jose, California 95134 (Address of principal executive offices, with zip code)

(408) 240-5500 (Registrant's telephone number, including area code)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

o Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

o Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

oPre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

oPre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item 2.02. Results of Operations and Financial Condition.

On November 9, 2016, SunPower Corporation (the "Company") issued a press release, included as Exhibit 99.1 hereto, announcing its results of operations for its third fiscal quarter ended October 2, 2016.

Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

On November 4, 2016, the Company and Howard Wenger, President, Business Units, of the Company, agreed that he will transition out of the Company during the next six months.

Item 7.01. Regulation FD Disclosure.

On November 9, 2016, the Company issued a press release, included as Exhibit 99.2 hereto, announcing Mr. Wenger's planned departure.

The information furnished in Item 2.02, Item 7.01 and Item 9.01 of this Current Report on Form 8-K and Exhibit 99.1 and Exhibit 99.2 hereto shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934 (the "Exchange Act") or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933 or the Exchange Act, except as expressly set forth by specific reference in such filing.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits

Exhibit No. Description

- 99.1 Press release dated November 9, 2016
- 99.2 Press release dated November 9, 2016

#### **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

### SUNPOWER CORPORATION

November 9, 2016 By: /S/ CHARLES D. BOYNTON

Name: Charles D. Boynton

Title: Executive Vice President and Chief Financial Officer

### **EXHIBIT INDEX**

# Exhibit No. Description

99.1 Press release dated November 9, 201699.2 Press release dated November 9, 2016