

ALLIANCEBERNSTEIN HOLDING L.P.
Form 8-K
February 13, 2019

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

FORM 8-K

CURRENT REPORT

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): February 13, 2019

ALLIANCEBERNSTEIN HOLDING L.P.
(Exact name of registrant as specified in its charter)

Delaware 001-09818 13-3434400
(State or other jurisdiction of (Commission File Number) (I.R.S. Employer Identification Number)
incorporation or organization)

1345 Avenue of the Americas, New York, New York 10105
(Address of principal executive offices) (Zip Code)

Registrant's telephone number, including area code: 212-969-1000

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligations of the registrant under any of the following provisions:

☐ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

☐ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

☐ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

☐ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company ☐

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the

Exchange Act. []

Item 2.02. Results of Operations and Financial Condition.

AllianceBernstein L.P. and AllianceBernstein Holding L.P. (collectively, “AB”) are furnishing their news release issued on February 13, 2019 announcing financial and operating results for the quarter ended December 31, 2018 and the availability of Form 10-K for the fiscal year ended December 31, 2018 (the “4Q18 Release”). The 4Q18 Release is attached hereto as Exhibit 99.01.

Item 7.01. Regulation FD Disclosure.

AB is furnishing the 4Q18 Release, which is attached hereto as Exhibit 99.01.

AB also is furnishing a news release issued on February 13, 2019 announcing preliminary assets under management for the month ended January 31, 2019 (the “AUM Release”). The AUM Release is attached hereto as Exhibit 99.02.

Item 9.01. Financial Statements and Exhibits.

(d) Exhibits.

99.01 4Q18 Release.

99.02 AUM Release.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned thereunto duly authorized.

ALLIANCEBERNSTEIN
HOLDING L.P.

Dated: February 13, 2019 By:

/s/ David M. Lesser
David M. Lesser
Corporate Secretary