**BALL CORP** Form 4/A

## September 13, 2016 FORM 4

#### **OMB APPROVAL** UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB Washington, D.C. 20549

3235-0287 Number:

Check this box if no longer subject to

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

January 31, Expires: 2005

Section 16. Form 4 or Form 5 obligations

**SECURITIES** 

Estimated average burden hours per response...

may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

0.5

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Pauley Lisa Ann		ng Person *	2. Issuer Name and Ticker or Trading Symbol BALL CORP [BLL]	5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction	(Check an applicable)			
10 LONGS PEAK DRIVE			(Month/Day/Year) 08/08/2016	Director 10% Owner _X_ Officer (give title Other (specify below) SR VP, HR & Administration			
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)	6. Individual or Joint/Group Filing(Check Applicable Line)			
BROOMFIELD, CO 80021-2510			08/09/2016	_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			

(City)	(State)	(Zip) Tab	le I - Non-	Derivative	Secur	ities Acq	uired, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securit on(A) or Dis (Instr. 3, 4)	sposed 4 and 3	of (D)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)	(I) (Instr. 4)	(
Common Stock	08/08/2016		M(1)	12,848	A	\$ 24.66	58,866.8453	D	
Common Stock	08/08/2016		D(2)	7,488	D	\$ 80.2	51,378.8453	D	
Common Stock	08/08/2016		M(3)	7,488	A	\$ 80.2	62,433.8453	D	
Common Stock	08/08/2016		M(3)	8,009	D	\$ 80.2	54,424.8453	D	
Common Stock							2,975.77	I	401(k) Plan (4)
							142,476.4403	I	

 $\begin{array}{c} \text{Common} & \text{By} \\ \text{Stock} & \text{Spouse} \ \underline{^{(5)}} \end{array}$ 

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	tionI S ) A c (	5. Number of onDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amour Underlying Securit (Instr. 3 and 4)	
				Code	V (	(A)	(D)	Date Exercisable	Expiration Date	Title	Amo or Num of Sh
Stock Appreciation Rights (sars)	\$ 24.66	08/08/2016		M(1)			12,848	04/25/2008	04/25/2017	Common Stock	12,8

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Pauley Lisa Ann 10 LONGS PEAK DRIVE BROOMFIELD, CO 80021-2510

SR VP, HR & Administration

## **Signatures**

/s/ Janice L. Rodriguez, attorney-in-fact for Ms.
Pauley

09/13/2016

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise of Stock Appreciation Rights (SARS).
- (2) Shares utilized to pay for the cost of the Stock Appreciation Rights (SARs) and for the payment of the tax obligation on the exercise of the SARs.

**(3)** 

Reporting Owners 2

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Due to an administrative error, reporting person's previously filed Form 4 showed 7,488 shares being withheld for the cost of the exercise of the SARS and the resulting tax obligation instead of 8,009 shares. Correcting entry.

- (4) Total number of 401(k) Plan shares acquired through periodic dividend reinvestment, participant's contributions and employer matching contributions.
- (5) The reporting person expressly disclaims beneficial ownership of the securities owned by Spouse.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.