

Edgar Filing: FIRST OPPORTUNITY FUND INC - Form NSAR-A

FIRST OPPORTUNITY FUND INC

Form NSAR-A

November 28, 2014

PAGE 1  
000 A000000 09/30/2014  
000 C000000 0000790202  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 FIRST OPPORTUNITY FUND, INC.  
001 B000000 811-4605  
001 C000000 3034445483  
002 A000000 2344 SPRUCE STREET, SUITE A  
002 B000000 BOULDER  
002 C000000 CO  
002 D010000 80302  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
008 A000001 STEWART WEST INDIES TRADING COMPANY, LTD  
008 B000001 A  
008 C000001 801-56522  
008 D010001 ST. PETER  
008 D050001 BARBADOS  
008 A000002 ROCKY MOUNTAIN ADVISERS, LLC  
008 B000002 A  
008 C000002 801-70202  
008 D010002 BOULDER  
008 D020002 CO  
008 D030002 80302  
010 A000001 FUND ADMINISTRATIVE SERVICES, LLC  
010 B000001 84-1282398  
010 C010001 BOULDER  
010 C020001 CO  
010 C030001 80302  
010 A000002 ALPS FUND SERVICES, INC.  
010 B000002 84-5730  
010 C010002 DENVER  
010 C020002 CO  
010 C030002 80203  
012 A000001 COMPUTERSHARE TRUST COMPANY, NA  
012 B000001 85-11340  
012 C010001 CANTON  
012 C020001 MA  
012 C030001 02021  
013 A000001 DELOITTE & TOUCHE LLP  
013 B010001 DENVER  
013 B020001 CO  
PAGE 2  
013 B030001 80202  
015 A000001 BANK OF NEW YORK MELLON  
015 B000001 C  
015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10286

Edgar Filing: FIRST OPPORTUNITY FUND INC - Form NSAR-A

015 E010001 X  
015 A000002 NATIONAL AUSTRALIA BANK  
015 B000002 S  
015 C010002 MELBOURNE  
015 D010002 AUSTRALIA  
015 E040002 X  
015 A000003 SKANDINAVISKA ENSKILDA BANKEN AB  
015 B000003 S  
015 C010003 COPENHAGEN  
015 D010003 DENMARK  
015 E040003 X  
015 A000004 BNP PARIBAS SECURITIES SERVICES  
015 B000004 S  
015 C010004 PARIS  
015 D010004 FRANCE  
015 E040004 X  
015 A000005 BANK OF NEW YORK, LONDON  
015 B000005 S  
015 C010005 LONDON  
015 D010005 ENGLAND  
015 E040005 X  
015 A000006 HONG KONG AND SHANGHAI BANKING CORPORATION  
015 B000006 S  
015 C010006 KOWLOON  
015 D010006 HONG KONG  
015 E040006 X  
015 A000007 DEUTSCHE BANK, AG (INDIA)  
015 B000007 S  
015 C010007 MUMBAI  
015 D010007 INDIA  
015 E040007 X  
015 A000008 BANK OF TOKYO  
015 B000008 S  
015 C010008 TOKYO  
015 D010008 JAPAN  
015 E040008 X  
015 A000009 NATIONAL AUSTRALIA BANK NEW ZEALAND-NATIONAL  
015 B000009 S  
015 C010009 WELLINGTON  
015 D010009 NEW ZEALAND  
015 E040009 X  
015 A000010 DEUTSCHE BANK (TURKEY)  
015 B000010 S  
015 C010010 ISTANBUL  
015 D010010 TURKEY  
PAGE 3  
015 E040010 X  
015 A000011 DEUTSCHE BANK, AG (JAKARTA)  
015 B000011 S  
015 C010011 JAKARTA  
015 D010011 INDONESIA  
015 E040011 X  
015 A000012 EUROCLEAR  
015 B000012 S  
015 C010012 BRUSSELS  
015 D010012 BELGIUM  
015 E040012 X  
015 A000013 CLEARSTREAM BANKING S.A.  
015 B000013 S  
015 C010013 FRANKFURT  
015 D010013 GERMANY  
015 E040013 X

Edgar Filing: FIRST OPPORTUNITY FUND INC - Form NSAR-A

018 000000 Y  
 019 A000000 Y  
 019 B000000 4  
 019 C000000 BOULDERINV  
 020 A000001 BNY CONVERGEX EXECUTION SOLUTIONS LLC  
 020 B000001 13-3989198  
 020 C000001 3  
 020 A000002 KNIGHT CAPITAL GROUP  
 020 B000002 22-3660471  
 020 C000002 2  
 020 A000003 BLOOMBERG TRADEBOOK LLC  
 020 B000003 13-3880286  
 020 C000003 2  
 021 000000 7  
 022 A000001 DREYFUS TREASURY CASH MANAGEMENT  
 022 C000001 16477  
 022 D000001 22314  
 022 A000002 JP MORGAN PRIME MONEY MARKET FUND  
 022 C000002 9800  
 022 D000002 7250  
 023 C000000 26277  
 023 D000000 29564  
 024 000000 Y  
 025 A000001 JP MORGAN CHASE & CO.  
 025 B000001 13-2624428  
 025 C000001 E  
 025 D000001 18301  
 025 A000002 DREYFUS TREASURY & AGENCY CASH MANAGMENT MONE  
 025 C000002 E  
 025 D000002 2652  
 025 A000003 JP MORGAN PRIME MONEY MARKET FUND  
 025 C000003 E  
 025 D000003 5550  
 025 A000004 WELLS FARGO BROKERAGE SERVICES LLC  
 025 B000004 41-1508325  
 PAGE 4  
 025 C000004 E  
 025 D000004 21774  
 026 A000000 N  
 026 B000000 N  
 026 C000000 N  
 026 D000000 Y  
 026 E000000 N  
 026 F000000 N  
 026 G010000 N  
 026 G020000 N  
 026 H000000 N  
 027 000000 N  
 045 000000 Y  
 046 000000 Y  
 047 000000 Y  
 048 000000 1.250  
 048 A010000 0  
 048 A020000 0.000  
 048 B010000 0  
 048 B020000 0.000  
 048 C010000 0  
 048 C020000 0.000  
 048 D010000 0  
 048 D020000 0.000  
 048 E010000 0  
 048 E020000 0.000

Edgar Filing: FIRST OPPORTUNITY FUND INC - Form NSAR-A

048	F010000	0
048	F020000	0.000
048	G010000	0
048	G020000	0.000
048	H010000	0
048	H020000	0.000
048	I010000	0
048	I020000	0.000
048	J010000	0
048	J020000	0.000
048	K010000	0
048	K020000	0.000
049	000000	N
050	000000	N
051	000000	N
052	000000	N
053	A000000	Y
053	B000000	Y
053	C000000	N
054	A000000	Y
054	B000000	Y
054	C000000	N
054	D000000	N
054	E000000	N
054	F000000	N
	PAGE	5
054	G000000	Y
054	H000000	Y
054	I000000	N
054	J000000	Y
054	K000000	N
054	L000000	N
054	M000000	N
054	N000000	N
054	O000000	Y
055	A000000	N
055	B000000	N
056	000000	Y
057	000000	N
058	A000000	N
059	000000	Y
060	A000000	N
060	B000000	N
061	000000	0
062	A000000	N
062	B000000	0.0
062	C000000	0.0
062	D000000	0.0
062	E000000	0.0
062	F000000	0.0
062	G000000	0.0
062	H000000	0.0
062	I000000	0.0
062	J000000	0.0
062	K000000	0.0
062	L000000	0.0
062	M000000	0.0
062	N000000	0.0
062	O000000	0.0
062	P000000	0.0
062	Q000000	0.0
062	R000000	0.0

Edgar Filing: FIRST OPPORTUNITY FUND INC - Form NSAR-A

066 A000000 Y  
 066 B000000 N  
 066 C000000 N  
 066 D000000 N  
 066 E000000 N  
 066 F000000 N  
 066 G000000 Y  
 067 000000 N  
 068 A000000 N  
 068 B000000 N  
 069 000000 N  
 070 A010000 Y  
 070 A020000 N  
 070 B010000 Y  
 070 B020000 N

PAGE 6

070 C010000 Y  
 070 C020000 N  
 070 D010000 Y  
 070 D020000 N  
 070 E010000 N  
 070 E020000 N  
 070 F010000 N  
 070 F020000 N  
 070 G010000 Y  
 070 G020000 N  
 070 H010000 N  
 070 H020000 N  
 070 I010000 Y  
 070 I020000 N  
 070 J010000 Y  
 070 J020000 Y  
 070 K010000 Y  
 070 K020000 Y  
 070 L010000 Y  
 070 L020000 Y  
 070 M010000 Y  
 070 M020000 Y  
 070 N010000 Y  
 070 N020000 N  
 070 O010000 Y  
 070 O020000 N  
 070 P010000 Y  
 070 P020000 N  
 070 Q010000 Y  
 070 Q020000 N  
 070 R010000 Y  
 070 R020000 N

071 A000000	25578
071 B000000	118571
071 C000000	318992
071 D000000	8
072 A000000	6
072 B000000	2
072 C000000	3014
072 D000000	0
072 E000000	0
072 F000000	1605
072 G000000	376
072 H000000	0
072 I000000	11
072 J000000	14

Edgar Filing: FIRST OPPORTUNITY FUND INC - Form NSAR-A

072 K000000 0  
 072 L000000 16  
 072 M000000 50  
 072 N000000 0  
 072 O000000 0

PAGE 7

072 P000000 0  
 072 Q000000 0  
 072 R000000 70  
 072 S000000 73  
 072 T000000 0  
 072 U000000 0  
 072 V000000 0  
 072 W000000 76  
 072 X000000 2291  
 072 Y000000 117  
 072 Z000000 842  
 072AA000000 39788  
 072BB000000 0  
 072CC010000 0  
 072CC020000 36374  
 072DD010000 0  
 072DD020000 0  
 072EE000000 0  
 073 A010000 0.0000  
 073 A020000 0.0000  
 073 B000000 0.0000  
 073 C000000 0.0000  
 074 A000000 53  
 074 B000000 0  
 074 C000000 0  
 074 D000000 0  
 074 E000000 0  
 074 F000000 222601  
 074 G000000 0  
 074 H000000 0  
 074 I000000 11214  
 074 J000000 115281  
 074 K000000 0  
 074 L000000 253  
 074 M000000 37  
 074 N000000 349439  
 074 O000000 0  
 074 P000000 297  
 074 Q000000 0  
 074 R010000 0  
 074 R020000 0  
 074 R030000 0  
 074 R040000 163  
 074 S000000 0  
 074 T000000 348979  
 074 U010000 28739  
 074 U020000 0  
 074 V010000 12.14  
 074 V020000 0.00  
 074 W000000 0.0000  
 074 X000000 476

PAGE 8

074 Y000000 0  
 075 A000000 0  
 075 B000000 348595  
 076 000000 9.69

Edgar Filing: FIRST OPPORTUNITY FUND INC - Form NSAR-A

077 A000000 Y  
077 C000000 Y  
077 Q010000 Y  
078 000000 Y  
080 C000000 0  
081 B000000 0  
086 A010000 0  
086 A020000 0  
086 B010000 0  
086 B020000 0  
086 C010000 0  
086 C020000 0  
086 D010000 0  
086 D020000 0  
086 E010000 0  
086 E020000 0  
086 F010000 0  
086 F020000 0  
087 A010000 Common Stock  
088 A000000 N  
088 B000000 N  
088 C000000 N  
088 D000000 N  
SIGNATURE Nicole Murphey  
TITLE Assistant Treasurer