

Nelson Bradley M.
Form 4
November 14, 2017

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Nelson Bradley M.

2. Issuer Name and Ticker or Trading Symbol
OSHKOSH CORP [OSK]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
11/10/2017

___ Director ___ 10% Owner
 Officer (give title below) ___ Other (specify below)
SVP & Pres. Commercial Segment

C/O OSHKOSH CORPORATION, 2307 OREGON STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

OSHKOSH, WI 54902

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V | Amount | (A) or (D) | Price |
| Common Stock | 11/10/2017 | | M | | 1,691.032 | A | <u>(1)</u> 18,907.601 |
| Common Stock | 11/10/2017 | | F | | 569 | D | \$ 84.9 18,338.601 |
| Common Stock | 11/10/2017 | | M | | 10,000 | A | \$ 19.24 28,338.601 |
| Common Stock | 11/10/2017 | | D | | 10,000 | D | \$ 83.99 18,338.601 |
| | 11/10/2017 | | S | | 7,500 | D | <u>(2)</u> 10,838.601 |

Common Stock \$ 83.86
(2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|
| Restricted Stock Units | <u>(1)</u> | 11/10/2017 | | M | 1,691.032 | 11/12/2017 <u>(3)</u> | Common Stock |
| Stock Appreciation Rights <u>(4)</u> | \$ 19.24 | 11/10/2017 | | M | 10,000 | <u>(5)</u> 09/19/2018 | Common Stock |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|--------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Nelson Bradley M. C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902 | | | SVP & Pres. Commercial Segment | |

Signatures

Ignacio A. Cortina, for Bradley M. Nelson
 11/13/2017
Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each Restricted Stock Unit represents a contingent right to receive one share of OSK common stock.
- (2)

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Represents weighted average price. Individual sales by lot ranged from \$83.66 to \$84.06. The Company will provide, upon request by the SEC staff, the full information regarding the number of shares purchased or sold at each separate price.

- (3) Restricted Stock Unit Award vests in one-third (1/3) annual increments commencing on 11/12/2016.
- (4) Stock Appreciation Rights Award granted pursuant to the Company's Stock Plan.
- (5) Stock Appreciation Rights Award vests in one-third (1/3) annual increments commencing on 9/19/2012.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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