Edgar Filing: OSHKOSH CORP - Form 4

OSHKOSH CORP Form 4 Form 4 February 20, 2014 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB A Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: Batimated as burden hour seponse									•	
(Print or Type	Responses)									
1. Name and Address of Reporting Person <u>*</u> HEMPEL KATHLEEN J								5. Relationship of Reporting Person(s) to Issuer		
(Last) (First) (Middle) C/O OSHKOSH CORPORATION, 2307 OREGON STREET			3. Date of Earliest Transaction (Month/Day/Year) 02/19/2014				-	(Check all applicable) X_ Director 10% Owner Officer (give title Other (specify below) below)		
				led(Month/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line) _X_Form filed by One Reporting Person		
OSHKOSH, WI 54902 — Form filed by More than One Reporting Person							porting			
(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Secur	ities Acqu	ired, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)		Pate 2A. Deemed ar) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired (A Transactionor Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price			D)	Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/19/2014			М	10,800	A	\$ 29.245	35,750	D	
Common Stock	02/19/2014			S	10,800	D	\$ 55.18 (1)	24,950	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8 D S (1
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Options (2)	\$ 29.245	02/19/2014		М	10,800	(3)	03/03/2014	Common Stock	10,800	

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
HEMPEL KATHLEEN J C/O OSHKOSH CORPORATION 2307 OREGON STREET OSHKOSH, WI 54902	Х					
Signatures						
Bryan J. Blankfield, for Kathleen J. Hempel	02/20/2014					
<u>**</u> Signature of Reporting Person	Date					

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents weighted average price. Individual sales by lot ranged from \$54.89 to \$55.57. The Company will provide, upon request by the (1)SEC staff, the full information regarding the number of shares purchased or sold at each separate price.
- (2) Option (right to buy) granted pursuant to the Company's Stock Plan.
- (3) Options vest in one-third (1/3) annual increments commencing on 2/3/2005.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.