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LEGG MASON, INC. Form 8-K October 13, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 8-K

CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported) October 12, 2017

LEGG MASON, INC.

(Exact name of registrant as specified in its charter)

Maryland 1-8529 52-1200960 (State or Other Jurisdiction of Incorporation) (Commission File No.) (IRS Employer Identification No.)

100 International Drive, Baltimore, Maryland 21202 (Address of principal executive offices) (Zip Code)

Registrant's telephone number, including area code:

Not Applicable

(Former name or former address if changed since last report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers;

5.02. Compensatory Arrangements of Certain Officers.

On October

12, 2017,

Tianqiao

Chen and

Robert Chiu

resigned from

the

Company's

Board of

Directors,

effective

immediately.

## **SIGNATURE**

Pursuant to the requirements of the Securities Exchange Act of 1934, the Company has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

LEGG MASON, INC. (Registrant)

By:/s/ Thomas C. Merchant

Thomas

Name: C.

Merchant Executive

Vice

Date: October 13, 2017 Title:

President

and

General Counsel