LEGG MASON, INC.
Form 8-K
October 13, 2017

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 8-K

## CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(d) OF THE
SECURITIES EXCHANGE ACT OF 1934
Date of Report (Date of earliest event reported) October 12, 2017
LEGG MASON, INC.
(Exact name of registrant as specified in its charter)
\(\left.$$
\begin{array}{lll}\begin{array}{ll}\text { Maryland } & \text { 1-8529 }\end{array}
$$ \& \begin{array}{l}52-1200960 <br>
(State or Other Jurisdiction <br>

of Incorporation)\end{array} \& (Commission File No.)\end{array}\right)\)| Ident Employer |
| :--- |
| Idification No.) |

100 International Drive, Baltimore, Maryland 21202
(Address of principal executive offices) (Zip Code)
Registrant's telephone number, including area code:
Not Applicable
(Former name or former address if changed since last report)
Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Item Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; 5.02. Compensatory Arrangements of Certain Officers.

On October
12, 2017,
Tianqiao
Chen and
Robert Chiu
resigned from
the
Company's
Board of
Directors,
effective
immediately.

## SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Company has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

## LEGG MASON, INC.

(Registrant)

By: ${ }^{\text {/s/ Thomas }}$<br>C. Merchant<br>Thomas

Name: C.
Merchant
Executive
Vice
Date: October 13, 2017 Title: President
and
General
Counsel

