



Edgar Filing: HATHCOCK BONNIE C - Form 4

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price or Value of Underlying Securities (Instr. 3 and 4)
Options <sup>(1)</sup>	\$ 46.4					<sup>(2)</sup> 02/18/2017	Humana Common	12,118
Options <sup>(1)</sup>	\$ 61.18					<sup>(3)</sup> 02/17/2018	Humana Common	15,178
Options <sup>(1)</sup>	\$ 88.6475					<sup>(4)</sup> 02/23/2019	Humana Common	24,374
Restricted Stock Units <sup>(5)</sup>	<sup>(6)</sup>					<sup>(7)</sup> <sup>(7)</sup>	Humana Common	5,149
Restricted Stock Units <sup>(5)</sup>	<sup>(6)</sup>					<sup>(8)</sup> <sup>(8)</sup>	Humana Common	4,738

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
HATHCOCK BONNIE C HUMANA INC. 500 WEST MAIN STREET LOUISVILLE, KY 40202			Sr VP & Chief Human Res. Off	

## Signatures

Bonita C.  
Hathcock

12/18/2012

                      
\*\*Signature of Reporting Person

                      
Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Right to buy pursuant to the Company's 2003 Stock Incentive Plan.

(2)

## Edgar Filing: HATHCOCK BONNIE C - Form 4

Reporting person was granted the maximum number of Incentive stock options allowed and remaining options were granted as Non-Qualified stock options. The option grant vests in three increments from 2/18/11 to 2/18/13.

- (3) Reporting person was granted the maximum number of Incentive stock options allowed and remaining options were granted as Non-Qualified stock options. The option grant vests in three increments from 2/17/12 to 2/17/14.
- (4) Reporting person was granted the maximum number of Incentive stock options allowed and remaining options were granted as Non-Qualified stock options. The option grant vests in three increments from 2/23/13 to 2/23/15.
- (5) Right to receive one share per restricted stock unit pursuant to the Company's 2003 Stock Incentive Plan.
- (6) Each restricted stock unit represents a contingent right to receive one share of Humana Inc. common stock, exempt under Rule 16b-3(d)(1) & (3).
- (7) Restricted stock units granted to reporting person on 2/17/11, 100% of the award is vesting on 2/17/14.
- (8) Restricted stock units granted to reporting person on 2/23/12, 100% of the award is vesting on 2/23/15.
- (9) Shares sold at prices ranging from \$66.0200 to \$66.2800.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.