

HARSCO CORP

Form 3

February 27, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

McKenzie Tracey L.

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

02/20/2015

3. Issuer Name and Ticker or Trading Symbol

HARSCO CORP [HSC]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

____ Director ____ 10% Owner
☒ Officer ____ Other
 (give title below) (specify below)

Vice President & CHRO

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person

☐ Form filed by More than One Reporting Person

350 POPLAR CHURCH ROAD

(Street)

CAMP HILL, PA 17011

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date Expiration
Exercisable Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title Amount or
Number of
Shares

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)
or Indirect (I)
(Instr. 5)6. Nature of Indirect Beneficial Ownership
(Instr. 5)

Performance Shares	Â (1)	Â (1)	Common Stock, \$1.25 par value	4,980	\$ (1)	D	Â
Restricted Stock Units	Â (2)	Â (2)	Common Stock, \$1.25 par value	4,980	\$ (2)	D	Â
Stock Appreciation Rights	Â (3)	Â (3)	Common Stock, \$1.25 par value	15,808	\$ (3)	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
McKenzie Tracey L. 350 POPLAR CHURCH ROAD CAMP HILL, PA 17011	Â	Â	Â Vice President & CHRO	Â

Signatures

/s/ Tracey L.
McKenzie

02/27/2015

Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Each performance share unit represents a contingent right to receive the equivalent of one share of Harsco common stock. The
(1) performance share units vest based on the total shareholder return of Harsco common stock relative to the S&P MidCap 400 Industrials Index.

Restricted stock units granted under the 1995 Executive Incentive Compensation Plan represent a contingent right to receive Harsco
(2) common stock on a one-for-one basis when the restricted stock units vest. Each restricted stock unit has a three-year vesting period. No dividends equivalents will be paid.

(3) The stock appreciation rights vest in annual 20% increments on the first five anniversaries of the date of grant.

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Remarks:

Exhibit List

Exhibit 24 - Confirming Statement

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.