

HALLIBURTON CO

Form 4

March 03, 2014

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Garcia Christian A

(Last) (First) (Middle)

3000 N. SAM HOUSTON  
PARKWAY E.

(Street)

HOUSTON, TX 77032

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
HALLIBURTON CO [HAL]

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/28/2014

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_X\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

Senior VP - Chief Acct Officer

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_\_\_X\_\_\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | 4. Securities Acquired<br>(A) or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-----------------------------------------|
| Common<br>Stock                       | 02/28/2014                              |                                                             | S                                       | (A)<br>or<br>(D)<br>Amount<br>2,889<br>(1)                              | \$<br>55.88                                                                                                        | 38,123                                                               | D                                       |
| Common<br>Stock                       | 02/28/2014                              |                                                             | M                                       | A<br>9,100                                                              | \$<br>40.83                                                                                                        | 47,223                                                               | D                                       |
| Common<br>Stock                       | 02/28/2014                              |                                                             | S                                       | (1)<br>9,100<br>(2)                                                     | \$<br>56.5                                                                                                         | 38,123                                                               | D                                       |
| Common<br>Stock                       | 02/28/2014                              |                                                             | M                                       | A<br>3,867                                                              | \$<br>35.57                                                                                                        | 41,990                                                               | D                                       |
| Common<br>Stock                       | 02/28/2014                              |                                                             | S                                       | (1)<br>3,867<br>(3)                                                     | \$<br>56.34                                                                                                        | 38,123                                                               | D                                       |

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|              |            |   |                     |   |                           |        |   |
|--------------|------------|---|---------------------|---|---------------------------|--------|---|
| Common Stock | 02/28/2014 | M | 2,501               | A | \$ 33.5                   | 40,624 | D |
| Common Stock | 02/28/2014 | S | <u>2,501</u><br>(1) | D | <u>\$</u><br>55.88<br>(4) | 38,123 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                            |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-----------------|---------------------------------------------------------------|----------------------------|
|                                            |                                                        |                                      |                                                    | Code                           | V (A) (D)                                                                               | Date Exercisable                                         | Expiration Date | Title                                                         | Amount or Number of Shares |
| Option to Buy Common Stock                 | \$ 40.83                                               | 02/28/2014                           |                                                    | M                              | 9,100<br>(5)                                                                            | 01/01/2011                                               | 01/01/2021      | Common Stock                                                  | 9,100                      |
| Option to Buy Common Stock                 | \$ 35.57                                               | 02/28/2014                           |                                                    | M                              | 3,867<br>(5)                                                                            | 12/06/2011                                               | 12/06/2021      | Common Stock                                                  | 5,800                      |
| Option to Buy Common Stock                 | \$ 33.5                                                | 02/28/2014                           |                                                    | M                              | 2,501<br>(5)                                                                            | 12/05/2012                                               | 12/05/2022      | Common Stock                                                  | 7,500                      |
| Option to Buy Common Stock                 | \$ 50.62                                               |                                      |                                                    |                                |                                                                                         | 12/04/2013                                               | 12/04/2023      | Common Stock                                                  | 5,900                      |
| Option to Buy Common Stock                 | \$ 19.45                                               |                                      |                                                    |                                |                                                                                         | 01/02/2009                                               | 01/02/2019      | Common Stock                                                  | 13,500                     |

## Reporting Owners

| Reporting Owner Name / Address                                            | Relationships |           |                                |       |
|---------------------------------------------------------------------------|---------------|-----------|--------------------------------|-------|
|                                                                           | Director      | 10% Owner | Officer                        | Other |
| Garcia Christian A<br>3000 N. SAM HOUSTON PARKWAY E.<br>HOUSTON, TX 77032 |               |           | Senior VP - Chief Acct Officer |       |

## Signatures

Robert L. Hayter, by Power of Attorney  
03/03/2014

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sales were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on February 14, 2014.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$56.28 to \$56.68, inclusive. The Reporting Person undertakes to provide to Halliburton Company, any security holder of Halliburton Company, or the staff of the Securities and Exchange Commission, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (2) to this Form 4.

(3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$56.02 to \$56.52, inclusive. The Reporting Person undertakes to provide to Halliburton Company, any security holder of Halliburton Company, or the staff of the Securities and Exchange Commission, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (3) to this Form 4.

(4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$55.71 to \$56.054, inclusive. The Reporting Person undertakes to provide to Halliburton Company, any security holder of Halliburton Company, or the staff of the Securities and Exchange Commission, full information regarding the number of shares sold at each separate price within the ranges set forth in footnote (4) to this Form 4.

(5) Options disposed of through exercise pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on February 14, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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