Edgar Filing: AUTONATION, INC. - Form 4

| AUTONATI | ON, INC. | | | | | | | | | | | |
|---|---|--|--|---|-------------|---------------------------------|---|---|---|-----------|--|--|
| Form 4 January 05, 2 | 017 | | | | | | | | | | | |
| | | | | | | | | OMB APPROVAL | | | | |
| | FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 | | | | | | | | 3235-0287 | | | |
| Check thi if no long subject to Section 10 Form 4 or Form 5 | er STATI 6. | ox STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, | | | | | | | January 3Expires:200Estimated averageburden hours perresponse0. | | | |
| obligation may conti <i>See</i> Instru 1(b). | ^{1s} Section 1 | 7(a) of the | | ility Hold | ing Com | pany | Act o | f 1935 or Sectio | 'n | | | |
| (Print or Type R | (esponses) | | | | | | | | | | | |
| BURDICK RICK L (Last) (First) (Middle) Symbol (Last) (First) (Middle) 3. Date of (Month/D 200 SW 1ST AVE, SUITE 1600 01/03/20 (Street) 4. If Ame | | | Symbol | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
| | | | AUTONATION, INC. [AN] | | | | | (Check all applicable) | | | | |
| | | | (Month/Da | 01/03/2017 | | | | X Director Officer (give below) | Director 10% Owner fficer (give title Other (specify below) | | | |
| | | | endment, Date Original onth/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | | |
| FORT LAU | DERDALE, F | L 33301 | | | | | | Form filed by M Person | More than One R | eporting | | |
| (City) | (State) | (Zip) | Table | e I - Non-De | erivative S | ecuri | ties Ac | quired, Disposed of | f, or Beneficia | lly Owned | | |
| 1.Title of Security (Instr. 3) | any | | emed on Date, if /Day/Year) | 3.4. SecuritiesTransactionAcquired (A) orCodeDisposed of (D)(Instr. 8)(Instr. 3, 4 and 5) | | Securities Elementicially Owned | 5. Ownership Form: Direct D) or Indirect (I) Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | | | | |
| Common | | | | Code V | Amount | (A) or (D) | Price | Transaction(s) (Instr. 3 and 4) | | | | |
| Stock, par value \$0.01 per share | 01/03/2017 | | | A <u>(1)</u> | 5,073 | А | \$0 | 33,822 | D | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

Reporting Owners

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. ofNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | ate | 7. Titl Amou Under Secur (Instr. | int of rlying | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr |
|---|---|---|---|--|---|---------------------|--------------------|--|--|---|--|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares | | |

er

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|---|---------------|-----------|---------|-------|--|--|--|
| Reporting O whet Funde / Fundeess | Director | 10% Owner | Officer | Other | | | |
| BURDICK RICK L 200 SW 1ST AVE SUITE 1600 FORT LAUDERDALE, FL 33301 | Х | | | | | | |
| Signatures | | | | | | | |
| /s/ Jonathan P. Ferrando, Attorney-in-Fact | | 01/05/20 | 17 | | | | |
| <u>**</u> Signature of Reporting Person | | Date | | | | | |

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

2017 grant of 5,073 vested restricted stock units (the "RSUs") pursuant to the AutoNation, Inc. 2014 Non-Employee Director Equity Plan (the "2014 Director Plan"). The RSUs will settle in shares of common stock, par value \$0.01 per share, of AutoNation, Inc. (the

(1) "Company") in accordance with the terms of the award, including any deferral election made by the reporting person, and the 2014 Director Plan. Settlement of the RSUs will be accelerated in certain circumstances as provided in the terms of the award and the 2014 Director Plan, including in the event the reporting person ceases to serve as a non-employee director of the Company.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.