IOBST ELLEN D Form 4/A December 04, 2017

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

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if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person * **IOBST ELLEN D**

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

FARMER BROTHERS CO [FARM]

(Middle)

(Check all applicable)

(First)

(Street)

(Month/Day/Year)

Director 10% Owner _X__ Officer (give title Other (specify below)

FARMER BROS. CO., 1912 FARMER BROTHERS DRIVE

4. If Amendment, Date Original

3. Date of Earliest Transaction

CHIEF OPERATIONS OFFICER 6. Individual or Joint/Group Filing(Check

Filed(Month/Day/Year)

Applicable Line)

11/14/2017

11/10/2017

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

NORTHLAKE, TX 76262

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Indirect Beneficial Ownership (I) (Instr. 4) (Instr. 4)

Reported (A) Transaction(s) (Instr. 3 and 4)

Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)

Conversion or Exercise

3. Transaction Date 3A. Deemed (Month/Day/Year) Execution Date, if

any

4. 5. Number Transaction of Derivative Expiration Date Securities Code

6. Date Exercisable and (Month/Day/Year)

7. Title and A Underlying Se (Instr. 3 and 4

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	Derivative Security		(Monus Bay, 1 car)	(msu.	(A) or Disposed of (D) (Instr. 3, 4, and 5)					
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title
Performance-Based Restricted Stock Units (PBRSUs)	\$ 31.7	11/10/2017		A		3,205 (1)		(2)	11/10/2024	Common Stock

(Month/Day/Year) (Instr. 8) Acquired

Reporting Owners

Price of

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

IOBST ELLEN D FARMER BROS. CO. 1912 FARMER BROTHERS DRIVE NORTHLAKE, TX 76262

CHIEF OPERATIONS OFFICER

Signatures

/s/ Thomas J. Mattei, Jr., Attorney-In-Fact for Ellen D. Iobst

12/04/2017

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Corrected number of PBRSUs granted.
 - Grant of performance-based restricted stock units ("PBRSUs") under the Farmer Bros. Co. 2017 Long-Term Incentive Plan. The target number of PBRSUs is presented in the table. Subject to certain continued employment conditions and subject to accelerated vesting in certain circumstances, the PBRSUs are scheduled to vest based on achievement of certain financial performance goals for the
- (2) performance period July 1, 2017 through June 30, 2020. At the end of the three-year performance period, the number of PBRSUs that actually vest will be 0% to 150% of the target amount, depending on the extent to which Farmer Bros. Co. meets or exceeds certain financial performance goals measured over the full three-year performance period. The maximum number of PBRSUs that may vest at the end of the three-year performance period is 4,807 (150% of the target amount).
- (3) Corrected number of PBRSUs beneficially owned after the reported transaction.

Remarks:

This Form 4 is being amended to report the corrected number of PBRSUs granted to the Reporting Person on November 10, 2 Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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