

Jain Ajit
Form 4
November 09, 2018

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
Jain Ajit

2. Issuer Name and Ticker or Trading Symbol
BERKSHIRE HATHAWAY INC
[BRK.A]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)
100 FIRST STAMFORD
PLACE, BHRG
(Street)

3. Date of Earliest Transaction
(Month/Day/Year)
11/07/2018

Director 10% Owner
 Officer (give title below) Other (specify below)
Vice Chairman

STAMFORD, CT 06902

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Amount		
			Code	V	Amount	(D)	Price
Class B Common Stock	11/07/2018		S		5,700 (1)	D	\$ 219.69
					122,695	(2)	I

By Jain Foundation Inc. (Non-Profit Corporation)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Edgar Filing: Jain Ajit - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(*e.g., puts, calls, warrants, options, convertible securities*)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
				Code	V	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Class A Common Stock	<u>(4)</u>					<u>(3)</u>	<u>(3)</u>	Class B Common Stock	181,500 <u>(5)</u>
Class A Common Stock	<u>(4)</u>					<u>(3)</u>	<u>(3)</u>	Class B Common Stock	195,000 <u>(7)</u>

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Jain Ajit 100 FIRST STAMFORD PLACE BHRG STAMFORD, CT 06902	X		Vice Chairman	

Signatures

Ajit Jain 11/09/2018
**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
 - ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reported transaction was a sale by the non-profit corporation Jain Foundation Inc., of 5,700 shares of Class B Common Stock.
 - (2) The shares directly owned by the Reporting Person, and the shares owned by the Reporting Person's spouse remain unchanged (202 and 150).
 - (3) Not Applicable
 - (4) Each share of Class A Common Stock is convertible at any time at the option of the holder into 1,500 shares of Class B Common Stock.

Edgar Filing: Jain Ajit - Form 4

- (5) A family-planning trust established by the Reporting Person for the benefit of the Reporting Person's children owns 121 shares of Class A Common Stock, which are convertible into 181,500 shares of Class B Common Stock.
- (6) On October 31st, the Reporting Person and the Reporting Person's spouse transferred all owned Class A Shares into family-planning trusts established for the benefit of the Reporting Person's children.
- (7) A family-planning trust established by the Reporting Person's spouse for the benefit of the Reporting Person's children owns 130 shares of Class A Common Stock, which are convertible into 195,000 shares of Class B Common Stock.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.