**ACXIOM CORP** Form 3/A April 21, 2017

## FORM 3

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

SELF DENNIS D.

**ACXIOM** 

(Last) (First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Statement

(Month/Day/Year)

01/08/2016

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year) 01/15/2016

(Check all applicable)

(give title below) (specify below)

Divisional President

ACXIOM CORP [ACXM]

CORPORATION, 301 E. DAVE WARD DRIVE

(Street)

Director \_X\_\_ Officer

10% Owner Other

6. Individual or Joint/Group Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

Person

Form filed by More than One

Reporting Person

4. Nature of Indirect Beneficial

CONWAY. ARÂ 72032

(City) (State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

2. Amount of Securities Beneficially Owned

(Instr. 4)

3. Ownership Form:

Ownership (Instr. 5)

Direct (D) or Indirect (I)

(Instr. 5)

Common Stock, \$.10 Par Value

 $32,820^{(1)}$ 

D

Common Stock, \$.10 Par Value

600.1954 (2)

Ι by Managed Account 1

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)

2. Date Exercisable and **Expiration Date** (Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

Conversion or Exercise

Ownership Form of

6. Nature of Indirect Beneficial

Ownership

1

#### Edgar Filing: ACXIOM CORP - Form 3/A

			(Instr. 4)		Price of	Derivative	(Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	Derivative Security	Security: Direct (D) or Indirect (I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	(3)	05/20/2025	Common Stock, \$.10 Par Value	15,046	\$ 17.49	D	Â
Non-Qualified Stock Option (right to buy)	(3)	05/20/2024	Common Stock, \$.10 Par Value	12,544	\$ 21.17	D	Â
Non-Qualified Stock Option (right to buy)	(3)	11/12/2023	Common Stock, \$.10 Par Value	12,862	\$ 32.85	D	Â

## **Reporting Owners**

Reporting Owner Name / Address	Relationships				
Transfer and an area are	Director	10% Owner	Officer	Other	
SELF DENNIS D.					
ACXIOM CORPORATION	â	â	Divisional President	â	
301 E. DAVE WARD DRIVE	А	А	A Divisional President	A	
CONWAY, AR 72032					

#### **Signatures**

By: Catherine L. Hughes For: Dennis D. Self

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This total includes 11,626 restricted stock units that represent a contingent right to receive one share of the registrant's common stock per unit. The units vest incrementally over four years from their grant dates, with 25% of the total becoming vested on each of the first through fourth anniversaries of the grant dates. This total also includes 17,214 performance units. Each performance unit represents a

- (1) contingent right to receive one share of the registrant's common stock. Vesting will occur subsequent to the attainment of certain performance criteria approved by the Compensation Committee of the registrant's Board of Directors for designated three-year performance periods. If the performance criteria are exceeded, vesting may occur in an amount up to 200% of the reported number of shares; likewise, if the performance criteria are not attained, less than 100% of the reported number of shares may be vested.
- (2) These shares are held under the Company's 401(k) Retirement Savings Plan, 20% of which have vested.
- These stock options will vest incrementally over four years, with 25% vesting on the first anniversary of the grant and 25% each 12 months thereafter until 100% vested. Option term is 10 years from date of grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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