

ALLIED HEALTHCARE PRODUCTS INC

Form 4

November 14, 2016

**FORM 4****UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person \*  
GRAVES JUDY T

2. Issuer Name **and** Ticker or Trading  
Symbol  
ALLIED HEALTHCARE  
PRODUCTS INC [AHPI]

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

(Last) (First) (Middle)

13317 WESTERMAN RD.

(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
11/10/2016

☒ Director ☐ 10% Owner  
☐ Officer (give title below) ☐ Other (specify below)

ST. LOUIS, MO 63122

(City) (State) (Zip)

4. If Amendment, Date Original  
Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
☒ Form filed by One Reporting Person  
☐ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3. Transaction<br>Code<br>(Instr. 8) | 4. Securities<br>Acquired (A) or<br>Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Ownership<br>(Instr. 4) |
|---------------------------------------|-----------------------------------------|-------------------------------------------------------------|--------------------------------------|----------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|-----------------------------------------|
| Common<br>stock                       |                                         |                                                             | Code V                               | Amount (D) Price                                                           | 500                                                                                                                | D                                                                    |                                         |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number<br>of Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |     | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                    |                 |                                        |
|-----------------------------------------------------|-----------------------------------------------------------------------|-----------------------------------------|-------------------------------------------------------------|-----------------------------------------|-----------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------|-----|---------------------------------------------------------------------|--------------------|-----------------|----------------------------------------|
|                                                     |                                                                       |                                         |                                                             | Code                                    | V                                                                                                               | (A)                                                            | (D) | Date<br>Exercisable                                                 | Expiration<br>Date | Title           | Amount<br>or<br>Number<br>of<br>Shares |
| Option to<br>purchase<br>common<br>stock            | \$ 3.55                                                               |                                         |                                                             |                                         |                                                                                                                 |                                                                |     | 11/10/2012                                                          | 11/10/2021         | Common<br>stock | 1,500                                  |
| Option to<br>purchase<br>common<br>stock            | \$ 4.34                                                               |                                         |                                                             |                                         |                                                                                                                 |                                                                |     | 11/11/2011                                                          | 11/11/2020         | Common<br>stock | 1,500                                  |
| Option to<br>purchase<br>common<br>stock            | \$ 5.04                                                               |                                         |                                                             |                                         |                                                                                                                 |                                                                |     | 11/13/2010                                                          | 11/13/2019         | Common<br>stock | 1,500                                  |
| Option to<br>purchase<br>common<br>stock            | \$ 4.05                                                               |                                         |                                                             |                                         |                                                                                                                 |                                                                |     | 11/13/2009                                                          | 11/13/2018         | Common<br>stock | 1,500                                  |
| Option to<br>purchase<br>common<br>stock            | \$ 6.73                                                               |                                         |                                                             |                                         |                                                                                                                 |                                                                |     | 11/08/2008                                                          | 11/08/2017         | Common<br>stock | 1,500                                  |
| Option to<br>purchase<br>common<br>stock            | \$ 5.24                                                               |                                         |                                                             |                                         |                                                                                                                 |                                                                |     | 11/16/2007                                                          | 11/16/2016         | Common<br>stock | 1,500                                  |
| Option to<br>purchase<br>common<br>stock            | \$ 2.59                                                               |                                         |                                                             |                                         |                                                                                                                 |                                                                |     | 11/08/2013                                                          | 11/08/2022         | Common<br>stock | 1,500                                  |
| Option to<br>purchase<br>common<br>stock            | \$ 2.31                                                               |                                         |                                                             |                                         |                                                                                                                 |                                                                |     | 11/14/2014                                                          | 11/14/2023         | Common<br>stock | 1,500                                  |
| Option to<br>purchase                               | \$ 1.58                                                               |                                         |                                                             |                                         |                                                                                                                 |                                                                |     | 11/13/2015                                                          | 11/13/2024         | Common<br>stock | 1,500                                  |

common  
stock

Option to  
purchase  
common  
stock

\$ 1.17

11/12/2016 11/12/2025

Commonm  
stock

1,500

Option to  
purchase  
common  
stock

\$ 1.13

11/10/2016

A

1,500

11/10/2017 11/10/2026

Commonm  
stock

1,500

## Reporting Owners

| Reporting Owner Name / Address                              | Relationships |           |         |       |
|-------------------------------------------------------------|---------------|-----------|---------|-------|
|                                                             | Director      | 10% Owner | Officer | Other |
| GRAVES JUDY T<br>13317 WESTERMAN RD.<br>ST. LOUIS, MO 63122 | X             |           |         |       |

## Signatures

Judy T. Graves 11/14/2016

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) (1) - Issued pursuant to the Company's 2013 Director's Stock Option Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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