

Parkinson Ray  
Form 4  
March 07, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
*See* Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
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2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Parkinson Ray

(Last) (First) (Middle)

C/O KAISER ALUMINUM  
CORP., 27422 PORTOLA  
PARKWAY SUITE 200

(Street)

FOOTHILL RANCH, CA 92610

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
KAISER ALUMINUM CORP  
[KALU]

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/05/2019

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_X\_\_ Officer (give title \_\_\_\_ Other (specify  
below) below)  
VP - Advanced Engineering

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_\_X\_\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	03/05/2019		A	1,570 (1)	A \$ 0 (1) 7,031 (2)	D	
Common Stock, par value \$0.01 per share	03/05/2019		A	2,409 (3)	A \$ 0 (3) 9,440 (2)	D	

# Edgar Filing: Parkinson Ray - Form 4

Common  
Stock, par  
value 03/05/2019 F 869 <sup>(4)</sup> D \$  
108.79 8,571 <sup>(2)</sup> D  
\$0.01 per  
share

Common  
Stock, par  
value 03/05/2019 F 690 <sup>(5)</sup> D \$  
108.79 7,881 <sup>(6)</sup> D  
\$0.01 per  
share

Common  
Stock, par  
value 9,831 I By Family  
\$0.01 per Trust  
share

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of  
information contained in this form are not  
required to respond unless the form  
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number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director 10% Owner Officer Other

Parkinson Ray  
C/O KAISER ALUMINUM CORP.  
27422 PORTOLA PARKWAY SUITE 200  
FOOTHILL RANCH, CA 92610

VP - Advanced Engineering

## Signatures

/s/ Cherrie I. Tsai, with power of attorney for Raymond  
Parkinson

03/07/2019

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Grant to the reporting person effective as of March 5, 2019 of restricted stock units under the Kaiser Aluminum Corporation 2016 Equity and Incentive Compensation Plan. All restrictions will lapse on March 5, 2022 or earlier upon the occurrence of certain specified circumstances.
- (1) Includes 7,031 shares acquired pursuant to grants of restricted stock units.
  - (2) Shares earned upon the vesting on March 5, 2019 of certain performance shares granted to the reporting person in 2016 under the Kaiser Aluminum Corporation 2006 Equity and Performance Incentive Plan, as amended. On March 5, 2019, the Registrant's compensation committee certified the performance shares payout multiplier based on the level of achievement by the Registrant of certain pre-established performance goals for 2016 through 2018.
  - (3) Shares withheld to satisfy the withholding tax obligations resulting from the vesting on March 5, 2019 of the above-referenced performance shares.
  - (4) Shares withheld to satisfy the withholding tax obligations resulting from the vesting on March 5, 2019 of certain restricted stock units granted to the reporting person in 2016 under the Kaiser Aluminum Corporation 2006 Equity and Performance Incentive Plan, as amended.
  - (5) Includes 5,038 shares acquired pursuant to grants of restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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