Edgar Filing: Wagner David G - Form 4

Wagner David G Form 4											
August 21, 2017											
										PPROVAL	
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549							OMB Number:	3235-0287			
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). StateMent of CHANGES IN BENEFICIAL OV SECURITIES Filed pursuant to Section 16(a) of the Securities Exchar Section 17(a) of the Public Utility Holding Company Act 30(h) of the Investment Company Act of 19						xchang Act o	Estimated average burden hours per response 0 ge Act of 1934, of 1935 or Section				
(Print or Type Respo	onses)										
			2. Issuer Name and Ticker or Trading Symbol II-VI INC [IIVI]				g	5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First) (Middle)	3. Date of Earliest Transaction				(Chec	ck all applicable	e)		
(Mont			(Month/Da	Month/Day/Year) 8/18/2017				Director 10% Owner X Officer (give title Other (specify below) below) VP, Human Resources			
				endment, Date Original nth/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 			
SAXONBURG,	PA 16056							Form filed by M Person	More than One Re	eporting	
(City)	(State)	(Zip)	Table	e I - Non-Do	erivative S	Securi	ties Ac	quired, Disposed o	f, or Beneficial	lly Owned	
		nsaction Date 2A. Deemed th/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) (A)			or))	Securities Beneficially Owned Beneficially Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common				Code V		or (D)	Price	Transaction(s) (Instr. 3 and 4)			
Common 08 Stock 08	/18/2017			А	2,904 (1)	А	\$0	48,802	D		
Common Stock								500	Ι	By Father	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: Wagner David G - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number onof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. H Der Sec (In:
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option (Right to Buy)	\$ 35.25	08/18/2017		А	7,260	(3)	08/18/2027	Common Stock	7,260	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Wagner David G C/O II-VI INCORPORATED 375 SAXONBURG BOULEVARD SAXONBURG, PA 16056			VP, Human Resources				
Signatures							
/s/ Jeffrey W. Acre, Attorney-in-Fact	08/21/20	017					
**Signature of Reporting Person	Date						

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) These shares represent a restricted stock award granted to the reporting person pursuant to the II-VI Incorporated Amended and Restated 2012 Omnibus Incentive Plan. The award will vest in three equal annual installments beginning on August 18, 2018.

The reporting person disclaims beneficial ownership of these securities, other than to the extent of the reporting person's pecuniary(2) interest therein, and this report shall not be deemed an admission that the reporting person is the beneficial owner of the securities for purposes of Section 16 or for any other purpose.

(3) These options will vest in four equal annual installments beginning on August 18, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.