Edgar Filing: MISONIX INC - Form 4

Form 4 November 07, 2016 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations Section 17(a) of the Public Utility Holding Company Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person (Last) (Erist) (Last) (First) (Middle) (Last) (First) (Middle) (Last) (First) (Middle)	MISONIX II	NC											
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB 3235-0287 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 16(a) of the Public Utility Holding Company Act of 1934, obligations may continue. See Instruction 16(a) of the Public Utility Holding Company Act of 1935 or Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 17(b). Section 17(a) of the Investment Company Act of 1935 or Section 17(b). Section 17(a) of the Investment Company Act of 1935 or Section 18 section 16(b). Section 17(a) of the Investment Company Act of 1940 Section 1940 Section 17(b). Section 17(c) of the Investment Company Act of 1940 Section 1940 Section 17(c). S													
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below) below)		11/03/2016				XOfficer (give titleOther (speci below) below)							
HIGHWAY VP - US Sales	HIGHWAY	7							· · · · · · · · · · · · · · · · · · ·				
(Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check		(Street)		4. If Am	endment. D	ate Origina	al		6. Individual or	Joint/Group Fili	ng(Check		
Filed(Month/Day/Year) Applicable Line)						-				· · · · · · · · · · · · · · · · · · ·	-8(
_X_Form filed by One Reporting Person													
FARMINGDALE, NY 11735 Form filed by More than One Reporting Person	FARMING	DALE, NY 1173	35						•	More than One R	eporting		
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned	(City)	(State)	(Zip)	Tab	le I - Non-l	Derivative	Secu	rities A	cquired, Disposed	of, or Beneficia	lly Owned		
1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of	1.Title of	2. Transaction Date	2A. Deemo	ed	3.	4. Securit	ies		5. Amount of	6. Ownership	7. Nature of		
Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities Form: Direct Indirect	Security	(Month/Day/Year)	Execution	Date, if	Transactio	-			Securities				
	(Instr. 3)		•		1				•	× /			
(Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned (I) Ownership Following (Instr. 4) (Instr. 4)			(Month/Da	ay/rear)	(Instr. 8)	(Instr. 3,	4 and .	5)			^		
Reported							(Λ)						
(A) Transaction(s) or (L + 2 - 14)									· · ·				
Code V Amount (D) Price (Instr. 3 and 4)					Code V	Amount		Price	(Instr. 3 and 4)				
Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.	Reminder: Rer	oort on a separate lin	e for each cl	ass of sec	urities bene	ficially ow	ned di	rectly (or indirectly				

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02) required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5. Number of	6. Date Exercisable and	7. Title and Amount of	8
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orDerivative	Expiration Date	Underlying Securities	D
Security	or Exercise		any	Code	Securities	(Month/Day/Year)	(Instr. 3 and 4)	S

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(Instr. 3)	Price of Derivative Security	(Month/Day/Year)	(Instr. 8)	Acquired (or Disposed (D) (Instr. 3, 4, and 5)	d of			(
			Code V	(A)	(D) Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option	\$ 6.76	11/03/2016	А	15,000	<u>(1)</u>	11/03/2026	Common Stock	15,000

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Wright Christopher H C/O MISONIX, INC. 1938 NEW HIGHWAY FARMINGDALE, NY 11735			VP - US Sales			
<u>.</u>						

Signatures

/s/ Christopher H. Wright	11/07/2016		
<u>**</u> Signature of Reporting Person	Date		

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The granted options vest in 25% increments on each of 11/3/2017, 11/3/2018, 11/3/2019 and 11/3/2020.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.