WATT JOHN H JR Form 4

March 27, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * WATT JOHN H JR

(First) (Middle) (Last)

52 SOUTH BROAD STREET

(Street)

Filed(Month/Day/Year)

NORWICH, NY 13815

(City) (State)

(Zip)

1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Month/Day/Year)

NBT Bancorp 03/26/2018 Inc.

Common Stock

5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading

Symbol

3. Date of Earliest Transaction

NBT BANCORP INC [NBTB]

(Month/Day/Year)

03/26/2018

3.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

4. If Amendment, Date Original

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

(A)

4. Securities

or Code V Amount (D) Price

> 3,183 (1)

46,212

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OMB APPROVAL

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per response... 0.5

Issuer

(Check all applicable)

Director 10% Owner

X_ Officer (give title Other (specify below)

President & CEO NBT Bancorp

6. Individual or Joint/Group Filing(Check Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

5. Amount of

Securities

Owned Following

Reported

Transaction(s)

(Instr. 3 and 4)

Beneficially

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial

Indirect (I) (Instr. 4) (Instr. 4)

Ownership

SEC 1474

(9-02)

D

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date		4.	5.	6. Date Exer		7. Title a		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D				Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	_	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Securitie	es	(Instr. 5)	Bene
	Derivative				Securities Acquired			(Instr. 3	and 4)		Own
	Security										Follo
	,				(A) or						Repo
	Disposed								Trans		
		of (D) (Instr. 3,								(Instr	
										(IIISti	
					4, and 5)						
					4, and 3)						
								A	mount		
						Date Exercisable	Expiration Date	OI	r		
								Title N	umber		
								01			
				Code V	(A) (D)				hares		

Reporting Owners

Relationships Reporting Owner Name / Address

> Officer Other Director 10% Owner

WATT JOHN H JR 52 SOUTH BROAD STREET NORWICH, NY 13815

President & CEO NBT Bancorp

Signatures

By: F. Sheldon Prentice, Power of Attorney For: John H. Watt Jr.

03/27/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Pursuant to NBT Bancorp Inc. Omnibus Performance Unit Agreement, each member of a select group of Management is entitled to (1) receive an award based on Company performance goals in the form of restricted stock units that vest one year following the end of a performance period.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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