UNITY BANCORP INC /NJ/

Form 4 January 06, 2015

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB 3235-0287

OMB APPROVAL

Number:

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obligations may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Courtright Wayne

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First) UNITY BANCORP INC /NJ/ [unty]

(Middle)

(Check all applicable)

9 MICHAEL COURT

3. Date of Earliest Transaction (Month/Day/Year)

01/02/2015

X Director 10% Owner Officer (give title Other (specify

below)

(Street)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

MILLSTONE TOWNSHIP, NJ 07726

(City) (State) (Zip) 2. Transaction Date 2A. Deemed

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

(Month/Day/Year) Execution Date, if (Month/Day/Year)

3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially (D) or Owned Following

6. Ownership 7. Nature of Form: Direct Indirect Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

(A) or (D) Price

Transaction(s) (Instr. 3 and 4)

Reported

Restricted Stock

01/02/2015

Code V Amount \$ 2,400 9.32 A Α (1) (2)

D $88,576 \frac{(3)}{}$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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8. Price of Derivative Security (Instr. 5)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. DiNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Title and A Underlying S (Instr. 3 and	Securities	8 I S (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Options	<u>(4)</u>					<u>(4)</u>	<u>(4)</u>	Common Stock	14,956	

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Courtright Wayne
9 MICHAEL COURT

X

Signatures

Wayne Courtright, POA Linda B. McDermott

MILLSTONE TOWNSHIP, NJ 07726

01/06/2015

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 2,400 Restricted shares were granted on 1/2/15 from the 2013 Stock Bonus Plan; vesting commences with 600 shares on 1/2/16; 600 shares on 1/2/17; 600 shares on 1/2/18; and 600 shares on 1/2/19.
- (2) Stock price at the close of business on 1/2/15.
- (3) 13,459 shares of total beneficially owned shares are Restricted Stock.
- (4) The following options are from the 2006 Stock Option Plan: 2,756 options granted on 1/25/17 @ \$12.62 expire 1/25/17; 4,200 options granted on 12/20/07 @ \$7.10 expire 12/20/17; 8,000 options granted on 12/18/08 @ \$3.80 expire 12/18/18.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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