

Avinger Inc  
Form 4  
December 11, 2015

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**  
**Washington, D.C. 20549**

Check this box  
if no longer  
subject to  
Section 16.  
Form 4 or  
Form 5  
obligations  
may continue.  
See Instruction  
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF  
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section  
30(h) of the Investment Company Act of 1940

## OMB APPROVAL

OMB  
Number: 3235-0287  
Expires: January 31,  
2005  
Estimated average  
burden hours per  
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Lucas Donald A

(Last) (First) (Middle)

400 CHESAPEAKE DRIVE

(Street)

REDWOOD CITY, CA 94063

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading  
Symbol  
Avinger Inc [AVGR]

3. Date of Earliest Transaction  
(Month/Day/Year)  
12/09/2015

4. If Amendment, Date Original  
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to  
Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner  
\_\_\_\_ Officer (give title below) \_\_\_\_ Other (specify below)

6. Individual or Joint/Group Filing(Check  
Applicable Line)  
\_X\_ Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting  
Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	12/09/2015		J <sup>(1)</sup>	562,038 D \$ 0 0	I		Lucas Venture Group IX, LLC
Common stock	12/09/2015		J <sup>(1)</sup>	15,809 A \$ 15.99 15,809	I		Lucas Venture Management, LLC
Common stock	12/11/2015		S	500 D \$ 15.857 15,309	I		Lucas Venture Management, LLC

Edgar Filing: Avinger Inc - Form 4

Common stock	12/11/2015	S	500	D	\$ 15.8715	14,809	I	Lucas Venture Management, LLC
Common stock	12/11/2015	S	500	D	\$ 16.0682	14,309	I	Lucas Venture Management, LLC
Common stock	12/11/2015	S	500	D	\$ 15.7951	13,809	I	Lucas Venture Management, LLC
Common stock	12/11/2015	S	1,000	D	\$ 15.9279	12,809	I	Lucas Venture Management, LLC
Common stock	12/11/2015	S	300	D	\$ 15.93	12,509	I	Lucas Venture Management, LLC
Common stock	12/11/2015	S	200	D	\$ 15.95	12,309	I	Lucas Venture Management, LLC
Common stock	12/11/2015	S	100	D	\$ 16	12,209	I	Lucas Venture Management, LLC
Common stock	12/11/2015	S	65	D	\$ 15.89	12,144	I	Lucas Venture Management, LLC
Common stock	12/11/2015	S	35	D	\$ 15.9	12,109	I	Lucas Venture Management, LLC
Common stock	12/11/2015	S	200	D	\$ 15.99	11,909	I	Lucas Venture Management, LLC
Common stock	12/11/2015	S	100	D	\$ 16	11,809	I	Lucas Venture Management, LLC

## Edgar Filing: Avinger Inc - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)

## Reporting Owners

Reporting Owner Name / Address	Relationships
	Director   10% Owner   Officer   Other

Lucas Donald A  
400 CHESAPEAKE DRIVE  
REDWOOD CITY, CA 94063

## Signatures

/s/ Donald A.  
Lucas                      12/11/2015

\_\_Signature of                      Date  
Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) 1. The reporting person is the managing member of Lucas Venture Group IX, LLC, which made a pro rata distribution for no consideration of Common Stock of the Issuer to its members on December 9, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.