UNITY BANCORP INC /NJ/

Form 3

October 29, 2013

FORM 3

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB

response...

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person * Statement UNITY BANCORP INC /NJ/ [unty] Bolomey Janice (Month/Day/Year) 10/24/2013 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) C/O UNITY BANK, 64 OLD (Check all applicable) HIGHWAY 22 (Street) 6. Individual or Joint/Group 10% Owner Director _X__ Officer Other Filing(Check Applicable Line) (give title below) (specify below) _X_ Form filed by One Reporting **EVP** Person CLINTON, NJÂ 08809 Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Beneficially Owned 1. Title of Security 2. Amount of Securities 4. Nature of Indirect Beneficial Beneficially Owned Ownership Ownership (Instr. 4) (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) Â Common Stock (1) D 11,245 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not

required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security 3. Title and Amount of 5. 6. Nature of Indirect 2. Date Exercisable and (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership Beneficial Ownership (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security: Title Direct (D) Security

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Date Amount or or Indirect Expiration Exercisable Number of Date (I) (Instr. 5) Shares Common $\hat{A}^{(2)}$ \hat{A} (3) Â $43,203 \ \frac{(4)}{} \ \ \$ \ \frac{(5)}{}$ D

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Stock

Bolomey Janice

Stock Options

C/O UNITY BANK 64 OLD HIGHWAY 22 CLINTON, NJÂ 08809

Â Â EVP Â

Signatures

Janice Bolomey, poa Linda B. McDermott, Corp. Sec.

10/29/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Total Restricted Stock issued from the following Stock Bonus Plans: 2004 Stock Bonus Plan: 695 issued on 2/16/06 at \$12.80; 550 issued on 1/25/07 at \$12.62; 2,000 issued on 12/8/08 at \$4.38; 2,000 issued on 12/10/09 at \$3.98. 2011 Stock Bonus Plan: 2,000 issued on 5/26/11 at \$6.66; 2,000 issued on 11/17/11 at \$6.40; and 2,000 issued on 12/18/12 at \$6.00. Note: All restricted shares vest over a 4-yr. period from date of issue.

- (2) See Footnote 3
- (3) See Footnote 3

Stock Options: 2,127 options issued on 11/20/03 from the 2002 Stock Option Plan at \$8.86 per share that expire on 11/20/13; 551 options issued on 1/25/07 from the 2006 Stock Option Plan at \$12.62 per share that expire on 1/25/17; 525 options issued on 2/21/08 from the 2006 Stock Option Plan at \$7.31 per share that expire on 2/21/18; 5,000 options issued on 12/8/08 from the 2006 Stock Option Plan at

- (4) \$4.38 per share that expire on 12/8/18; 5,000 options issued on 12/10/09 from the 2006 Stock Option Plan at \$3.98 that expire on 12/10/19; 10,000 options issued on 5/26/11 from the 2011 Stock Option Plan at \$6.66 per share that expire on 5/26/21; 10,000 options issued on 11/17/11 from the 2011 Stock Option Plan at \$6.40 per share that expire on 11/17/21; 10,000 options issued on 12/18/12 from the 2011 Stock Option Plan at \$6.00 per share that expire on 12/18/22. Stock Options vest over a 3-yr. period from date of issue.
- (5) See Footnote 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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