

Clarke Tod A  
Form 4  
February 12, 2019

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Clarke Tod A

2. Issuer Name and Ticker or Trading Symbol  
ABRAXAS PETROLEUM CORP  
[AXAS]

5. Relationship of Reporting Person(s) to Issuer  
  
(Check all applicable)

(Last) (First) (Middle)  
18803 MEISNER DRIVE  
  
(Street)

3. Date of Earliest Transaction  
(Month/Day/Year)  
02/08/2019

\_\_\_\_ Director  
 Officer (give title below)  
\_\_\_\_ 10% Owner  
\_\_\_\_ Other (specify below)  
Vice President - Land

SAN ANTONIO, TX 78258

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(D)	Price
Abraxas Petroleum Common Stock					99,092	D	
Abraxas Petroleum Common Stock	02/08/2019 <sup>(1)</sup>		P		8,580 <sup>(1)</sup>	A	\$ 1.12 36,989
Abraxas Petroleum Common Stock	12/31/2018 <sup>(2)</sup>		P		448 <sup>(2)</sup>	A	\$ 37,437

Edgar Filing: Clarke Tod A - Form 4

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Security (Instr. 3 and 4)
Performance-based Restricted Stock Unit	\$ 2.19					Date Exercisable: 04/02/2021 Expiration Date: 04/02/2021	Abraxas Petroleum Common Stock 2
Employee Stock Option	\$ 3.15					Date Exercisable: 03/11/2015 Expiration Date: 03/11/2024	Abraxas Petroleum Common Stock 1
Employee Stock Options	\$ 0.97					Date Exercisable: 03/15/2017 Expiration Date: 03/17/2026	Abraxas Petroleum Common Stock 6
Employee Stock Option	\$ 3.74					Date Exercisable: 03/08/2013 Expiration Date: 03/08/2022	Abraxas Petroleum Common Stock 5
Employee Stock Option	\$ 4.72					Date Exercisable: 03/15/2012 Expiration Date: 03/15/2021	Abraxas Petroleum Common Stock 1
Employee Stock Option	\$ 2.09					Date Exercisable: 03/16/2011 Expiration Date: 03/16/2020	Abraxas Petroleum Common Stock 1
	\$ 0.99					Date Exercisable: 03/17/2010 Expiration Date: 03/17/2019	Abraxas Petroleum Common Stock 5

Employee Stock Option					Abraxas Petroleum Common Stock
Employee Stock Option	\$ 2.39		05/14/2014	05/14/2023	Abraxas Petroleum Common Stock 1
Employee Stock Option	\$ 3.55		08/09/2012	08/09/2021	Abraxas Petroleum Common Stock 2
Employee Stock Option	\$ 1.75		10/05/2010	10/05/2019	Abraxas Petroleum Common Stock 2

## Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Clarke Tod A 18803 MEISNER DRIVE SAN ANTONIO, TX 78258			Vice President - Land	

## Signatures

Tod A. Clarke                      02/12/2019  
 \*\*Signature of                      Date  
 Reporting Person

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Employer match to 401-K for the year ended December 31, 2018.
- (2) Shares purchased throughout 2018 through 401-K Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.