Bommer Peter A. Form 4 February 12, 2019

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

Estimated average burden hours per

response... 0.5

if no longer subject to Section 16. Form 4 or Form 5

Check this box

obligations may continue.

(Middle)

(Zip)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Last)

(City)

Common

Stock

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* Bommer Peter A.

(First)

(State)

2. Issuer Name and Ticker or Trading

Symbol

ABRAXAS PETROLEUM CORP [AXAS]

Director 10% Owner

(Check all applicable)

5. Relationship of Reporting Person(s) to

3. Date of Earliest Transaction

(Month/Day/Year) 02/08/2019

X\_ Officer (give title Other (specify below) VP - Engineering

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Issuer

18803 MEISNER DRIVE (Street)

SAN ANTONIO, TX 78258

Table I - Non-Derivative	Securities Aco	mired Dienoced	of or Ro	naficially Ov	han
rabie i - Noii-Derivauve	Securiues Acq	uirea, Disposea	or be	nenciany Ov	viieu

1.12

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securi on(A) or Di (D) (Instr. 3,	isposed o	of	Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code V	Amount		Price	(Instr. 3 and 4)		
Abraxas Petroleum Common Stock							299,001	D	
Abraxas Petroleum	02/08/2019(1)		P	8,580	A \$	\$ 1 12	46,559	I	401-K <u>(1)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)

### Edgar Filing: Bommer Peter A. - Form 4

# required to respond unless the form displays a currently valid OMB control number.

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	Code	5. onNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		te	7. Title and A Underlying Se (Instr. 3 and 4	ecu
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	A N S
Performance-based Restricted Stock Unit	\$ 2.37					04/02/2021	04/02/2021	Abraxas Petroleum Common Stock	
Employee Stock Option	\$ 0.97					03/15/2017	03/15/2026	Abraxas Petroleum Common Stock	1
Employee Stock Option	\$ 3.16					03/03/2016	03/03/2025	Abraxas Petroleum Common Stock	2
Employee Stock Option	\$ 3.15					03/11/2015	03/11/2024	Abraxas Petroleum Common Stock	
Employee Stock Option	\$ 2.39					05/14/2014	05/14/2023	Abraxas Petroleum Common Stock	
Employee Stock Option	\$ 3.74					03/08/2013	03/08/2022	Abraxas Petroleum Common Stock	
Employee Stock Option	\$ 3.55					08/09/2012	08/09/2021	Abraxas Petroleum Common Stock	

#### Edgar Filing: Bommer Peter A. - Form 4

Employee Stock Option	\$ 4.72	03/15/2012	03/15/2021	Abraxas Petroleum Common Stock
Employee Stock Option	\$ 2.09	03/16/2011	03/16/2020	Abraxas Petroleum Common Stock
Employee Stock Option	\$ 1.75	10/05/2010	10/05/2019	Abraxas Petroleum Common Stock
Employee Stock Option	\$ 0.99	03/17/2010	03/17/2019	Abraxas Petroleum Common Stock
Employee Stock Option	\$ 3.61	09/05/2008	09/05/2017	Abraxas Petroleum Common Stock

### **Reporting Owners**

Reporting Owner Name / Address	Relationships				
•	Director	10% Owner	Officer	Other	
Bommer Peter A.					
18803 MEISNER DRIVE			VP - Engineering		

### **Signatures**

Peter A.
Bommer

\*\*Signature of Date

Reporting Person

SAN ANTONIO, TX 78258

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Employer match to 401-K for the year ended December 31, 2018.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3