

Helsel Dave
Form 3
February 01, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB
Number: 3235-0104
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *		2. Date of Event Requiring Statement	3. Issuer Name and Ticker or Trading Symbol	
Â Helsel Dave		(Month/Day/Year)	ANGIODYNAMICS INC [ANGO]	
(Last)	(First)	(Middle)	01/23/2019	
14 PLAZA DRIVE			4. Relationship of Reporting Person(s) to Issuer	5. If Amendment, Date Original Filed(Month/Day/Year)
(Street)			(Check all applicable)	
LATHAM,Â NYÂ 12110			☐ Director ☐ 10% Owner ☒ Officer ☐ Other (give title below) (specify below) SVP Global Operations and R&D	6. Individual or Joint/Group Filing(Check Applicable Line) ☒ Form filed by One Reporting Person ☐ Form filed by More than One Reporting Person
(City)	(State)	(Zip)		

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)
Common Stock	14,090 ⁽¹⁾	D	Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 4)	2. Date Exercisable and Expiration Date (Month/Day/Year)	3. Title and Amount of Securities Underlying Derivative Security (Instr. 4)	4. Conversion or Exercise Price of Derivative Security	5. Ownership Form of Derivative Security: Direct (D) or Indirect	6. Nature of Indirect Beneficial Ownership (Instr. 5)
	Date Exercisable Expiration Date	Title Amount or Number of			

Edgar Filing: Helsel Dave - Form 3

				Shares		(I) (Instr. 5)	
Non-Qualified Stock Option (right to buy)	Â (2)	12/18/2027	Common Stock	50,000	\$ 17.2	D	Â
Non-Qualified Stock Option (right to buy)	Â (3)	07/18/2028	Common Stock	15,663	\$ 20.93	D	Â
Performance Right	Â (4)	Â (4)	Common Stock	10,000	\$ 0	D	Â
Performance Right	Â (5)	Â (5)	Common Stock	9,834	\$ 0	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Helsel Dave 14 PLAZA DRIVE LATHAM, NY 12110	Â	Â	Â SVP Global Operations and R&D	Â

Signatures

/s/ Stephen A. Trowbridge, Attorney
in Fact

02/01/2019

**Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) This number includes (i) 7,500 shares of common stock ("Common Stock") of AngioDynamics, Inc. (the "Company") underlying restricted stock units, of which 2,500 shares will vest on each of December 18, 2019, 2020 and 2021, and (ii) 4,916 shares of Common Stock of the Company underlying restricted stock units, of which 1,228 shares will vest on each of July 18, 2019 and 2020, and 1,230 shares will vest on each of July 18, 2021 and 2022.
- (2) These stock options vest in four annual installments beginning on December 18, 2018, such that 12,500 options vested on December 18, 2018, and 12,500 options will vest on each of December 18, 2019, 2020 and 2021.
- (3) These stock options vest in four annual installments beginning on July 18, 2019, such that 3,916 options will vest on each of July 18, 2019, 2020 and 2021, and 3,915 options will vest on July 18, 2022.
- (4) Each performance right represents a contingent right to receive one share of Common Stock. The target number of shares of Common Stock is set forth in column 3 of Table II. Between 0% and 200% of the target number will be earned based on total shareholder return relative to a peer group of companies over a three-year performance period ending May 31, 2020. Any shares that do not vest at the end of the performance period will be forfeited.
- (5) Each performance right represents a contingent right to receive one share of Common Stock. The target number of shares of Common Stock is set forth in column 3 of Table II. Between 0% and 200% of the target number will be earned based on total shareholder return relative to a peer group of companies over a three-year performance period ending May 31, 2021. Any shares that do not vest at the end of the performance period will be forfeited.

Â

Remarks:

Exhibit List:Â Â Exhibit 24.1Â -Â Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

Edgar Filing: Helsel Dave - Form 3

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.