WEISLER DION J

Form 4

November 06, 2017

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** 

**OMB APPROVAL** 

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person ** WEISLER DION J |         |          | 2. Issuer Name <b>and</b> Ticker or Trading Symbol HP INC [HPQ] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                             |  |  |
|---|---------|----------|---|--|--|--|
| (Last)  | (First) | (Middle) | 3. Date of Earliest Transaction                                 | (Check an approacte)   |  |  |
| 1501 PAGE MILL RD   |         |          | (Month/Day/Year)<br>11/02/2017                                  | Director 10% Owner Officer (give title below) Other (specify below)  President and CEO               |  |  |
| (Street)  |         |          | 4. If Amendment, Date Original                                  | 6. Individual or Joint/Group Filing(Check  |  |  |
| PALO ALTO, CA 94304                                       |         |          | Filed(Month/Day/Year)   | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |

#### (City) (Zip) (State) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 3.<br>Transaction<br>Code<br>(Instr. 8) | CransactiorDisposed of (D) Code (Instr. 3, 4 and 5) |           |                      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--------------------------------------|---|---|---|-----------|----------------------|--|--|---|
|                                      |   | Code V                                  | Amount  | or<br>(D) | Price                | (Instr. 3 and 4)   | · ·  |   |
| Common<br>Stock                      | 11/02/2017                              | M                                       | 525,719   | A         | \$ 13.83             | 865,216  | D  |   |
| Common<br>Stock                      | 11/02/2017                              | S                                       | 525,719<br>(1)                                      | D         | \$<br>21.2229<br>(2) | 339,497  | D  |   |
| Common<br>Stock                      | 11/02/2017                              | M                                       | 167,510   | A         | \$ 0 (3)             | 507,007  | D  |   |
| Common<br>Stock                      | 11/02/2017                              | F                                       | 87,408  | D         | \$ 21.44             | 419,599  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transaction<br>Code<br>(Instr. 8) | 5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount Underlying Securition (Instr. 3 and 4) |                        |
|---|---|---|---|---|---|--|-----------------|--|------------------------|
|   |   |   |   | Code V                                  | (A) (D)   | Date<br>Exercisable                                      | Expiration Date | Title  | Amou<br>Numb<br>Shares |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 13.83  | 11/02/2017                              |   | M                                       | 525,719   | <u>(4)</u>   | 11/01/2023(5)   | Common<br>Stock  | 525,                   |
| Restricted<br>Stock Unit                            | (3)   | 11/02/2017                              |   | M                                       | 167,510<br>(6)  | <u>(6)</u>   | <u>(6)</u>      | Common<br>Stock  | 167,                   |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

WEISLER DION J 1501 PAGE MILL RD PALO ALTO, CA 94304

President and CEO

## **Signatures**

/s/ Katie Colendich as Attorneyin-Fact for Dion J. Weisler

11/06/2017

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The sales reported on this Form 4 were effectuated pursuant to a Rule 10b5-1 trading plan adopted by this reporting person on 6/23/2017.
- The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from (2) \$21.07-\$21.43, inclusive. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (3) Each restricted stock unit ("RSU') represents a contingent right to receive one share of HP common stock.

**(4)** 

Reporting Owners 2

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On November 2, 2015, the reporting person was granted performance contingent options to purchase a total of 1,577,157 shares. The stock options vest 1/3 annually on each of the anniversaries of the grant date, in each case subject to the satisfaction of certain stock price performance conditions being met within five years following the grant date.

- (5) This option is no longer exercisable following this date.
  - On 11/2/2015 the reporting person was granted 469,993 restricted stock units ("RSUs"), 156,664 of which vested on 11/2/2016, and 156,664 of which will vest on 11/2/2017 and 156,665 will vest on 11/2/2018. Dividend equivalent rights accrue with respect to these
- (6) RSUs when and as dividends are paid on HP common stock. The number of derivative securities in column 5 includes 10,846 vested dividend equivalent rights. The amounts reflected in this footnote reflect the conversion of the awards upon the distribution of the Hewlett Packard Enterprise shares on November 1, 2015.
- (7) The share amounts reported reflect the conversion of the awards upon the distribution of the Hewlett Packard Enterprise shares on November 1, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.