LIGHTBRIDGE Corp Form 4

November 24, 2015

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

if no longer subject to Section 16. Form 4 or Form 5

Check this box

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

obligations

may continue.

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person \* Zwobota Linda Joan

(Middle)

5. Relationship of Reporting Person(s) to Issuer

Symbol

LIGHTBRIDGE Corp [LTBR]

2. Issuer Name and Ticker or Trading

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

OMB

Number:

Expires:

response...

Estimated average

burden hours per

(First)

3. Date of Earliest Transaction

(Month/Day/Year)

Director 10% Owner Other (specify

6. Individual or Joint/Group Filing(Check

1600 TYSONS BLVD, SUITE 550

11/20/2015

Officer (give title below) below)

**CFO** 

(Street)

4. If Amendment, Date Original

Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

MCLEAN, VA 22102

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year)

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D)

5. Amount of Securities Beneficially

Owned

6. Ownership 7. Nature of Form: Direct (D) or Indirect Beneficial (T)

(Instr. 4)

Indirect Ownership

(Instr. 4)

(Instr. 8)

Following Reported Transaction(s)

(Instr. 3 and 4)

Code V Amount (D) Price

(Instr. 3, 4 and 5)

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security

Conversion or Exercise

3. Transaction Date 3A. Deemed

(Month/Day/Year) Execution Date, if any

4. 5. Number of Transaction Derivative Code Securities

6. Date Exercisable and **Expiration Date** (Month/Day/Year)

7. Title and Amount of **Underlying Securities** (Instr. 3 and 4)

#### Edgar Filing: LIGHTBRIDGE Corp - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	Acquired (A) Disposed of (Instr. 3, 4, at 5)	(D)			
				Code V	(A)	(D) Date Exercisable	Expiration Date	Title	Amount Number Shares
Stock Options (Non Qualified)	\$ 0.92	11/20/2015		A	64,054	<u>(1)</u>	11/20/2025	Common Stock	64,054
Stock Options (Non Qualified)	\$ 0.92	11/20/2015		A	58,671	<u>(2)</u>	11/20/2025	Common Stock	58,671
Stock Options (Non Qualified)	\$ 0.92	11/20/2015		A	167,255	(3)	11/20/2025	Common Stock	167,25

#### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
•	Director	10% Owner	Officer	Other		
Zwobota Linda Joan						
1600 TYSONS BLVD			CFO			
SUITE 550			CrO			
MCLEAN, VA 22102						

### **Signatures**

/s/ Linda J.
Zwobota

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The options were granted on November 20, 2015 and are subject to an immediate 100% vesting.
- (2) The options were granted on November 20, 2015 and are subject to a three-year vesting schedule, with one-third vesting on each anniversary of the date of grant.
- (3) The options were granted on November 20, 2015 and are subject to a three-year vesting schedule, with one-third vesting on each anniversary of the date of grant. This grant is contingent upon shareholder approval.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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