

Edgar Filing: Principal Real Estate Income Fund - Form NSAR-B

Principal Real Estate Income Fund
Form NSAR-B
December 28, 2016

PAGE 1
000 B000000 10/31/2016
000 C000000 0001557523
000 D000000 N
000 E000000 NF
000 F000000 Y
000 G000000 N
000 H000000 N
000 I000000 6.1
000 J000000 A
001 A000000 PRINCIPAL REAL ESTATE INCOME FUND
001 B000000 811-22742
001 C000000 3036232577
002 A000000 1290 BROADWAY, SUITE 1100
002 B000000 DENVER
002 C000000 CO
002 D010000 80203
003 000000 N
004 000000 N
005 000000 N
006 000000 N
007 A000000 N
007 B000000 0
008 A000001 ALPS ADVISORS, INC.
008 B000001 A
008 C000001 801-67135
008 D010001 DENVER
008 D020001 CO
008 D030001 80203
008 A000002 PRINCIPAL REAL ESTATE INVESTORS, LLC
008 B000002 S
008 C000002 801-55618
008 D010002 DES MOINES
008 D020002 IA
008 D030002 50309
010 A000001 ALPS FUND SERVICES, INC.
010 B000001 84-5730
010 C010001 DENVER
010 C020001 CO
010 C030001 80203
011 A000001 WELLS FARGO SECURITIES, LLC.
011 B000001 8-65876
011 C010001 CHARLOTTE
011 C020001 NC
011 C030001 28202
012 A000001 DST SYSTEMS, INC.
012 B000001 84-00448
012 C010001 KANSAS CITY
012 C020001 MO
012 C030001 64105
013 A000001 COHEN AND COMPANY
013 B010001 CLEVELAND
PAGE 2
013 B020001 OH
013 B030001 44115
014 A000001 ALPS DISTRIBUTORS, INC.
014 B000001 8-34626
014 A000002 ALPS PORTFOLIO SOLUTIONS DISTRIBUTOR, INC.
014 B000002 8-67659

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015 A000001 STATE STREET BANK AND TRUST COMPANY
015 B000001 C
015 C010001 BOSTON
015 C020001 MA
015 C030001 02111
015 E010001 X
018 000000 Y
019 A000000 N
020 A000001 UBS SECURITIES LLC
020 B000001 13-3873456
020 C000001 13
020 A000002 GREEN STREET ADVISORS, INC.
020 B000002 33-0275837
020 C000002 10
020 A000003 JP MORGAN CHASE & CO.
020 B000003 13-2624428
020 C000003 9
020 A000004 MERRILL LYNCH, PIERCE, FENNER & SMITH, INC.
020 B000004 13-5674085
020 C000004 8
020 A000005 CITIGROUP GLOBAL MARKETS, INC.
020 B000005 11-2418191
020 C000005 8
020 A000006 RBC CAPITAL MARKETS CORP.
020 B000006 13-5033225
020 C000006 7
020 A000007 DEUTSCHE BANK SECURITIES, INC.
020 B000007 13-2730828
020 C000007 6
020 A000008 FRIEDMAN, BILLINGS, RAMSEY & CO., INC.
020 B000008 52-1630477
020 C000008 5
020 A000009 STIFEL, NICOLAUS & CO, INC.
020 B000009 43-0538770
020 C000009 5
020 A000010 SMBC NIKKO SECURITIES AMERICA, INC.
020 B000010 13-3606844
020 C000010 4
021 000000 166
022 A000001 BAYCREST PARTNERS LLC
022 B000001 13-3697789
022 C000001 6591
022 D000001 0
022 A000002 STATE STREET GLOBAL MARKETS LLC
022 B000002 04-3464336
PAGE 3
022 C000002 5302
022 D000002 0
022 A000003 BANK OF AMERICA ADVISORS LLC
022 B000003 94-1687665
022 C000003 2544
022 D000003 1497
022 A000004 KGS-ALPHA CAPITAL MARKETS, L.P.
022 B000004 27-0687300
022 C000004 215
022 D000004 3545
022 A000005 CREDIT SUISSE SECURITIES (USA) LLC
022 B000005 05-0546650
022 C000005 3449
022 D000005 0
022 A000006 CANTOR FITZGERALD & CO.
022 B000006 13-3680184

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022 C000006 0
 022 D000006 2119
 022 A000007 GOLDMAN SACHS & CO.
 022 B000007 13-5108880
 022 C000007 1648
 022 D000007 0
 022 A000008 WELLS FARGO BROKERAGE SERVICES LLC
 022 B000008 41-1508325
 022 C000008 1132
 022 D000008 0
 022 A000009 BARCLAYS CAPITAL, INC.
 022 B000009 06-1031656
 022 C000009 1002
 022 D000009 0
 022 A000010 MORGAN STANLEY & CO., INC.
 022 B000010 13-2655998
 022 C000010 958
 022 D000010 0
 023 C000000 26175
 023 D000000 7182
 024 000000 Y
 025 A000001 MORGAN STANLEY & CO., INC.
 025 B000001 13-2655998
 025 C000001 D
 025 D000001 9053
 025 A000002 BANK OF AMERICA ADVISORS LLC
 025 B000002 94-1687665
 025 C000002 D
 025 D000002 7931
 025 A000003 CREDIT SUISSE SECURITIES (USA) LLC
 025 B000003 05-0546650
 025 C000003 D
 025 D000003 14001
 025 A000004 GOLDMAN SACHS & CO.
 025 B000004 13-5108880
 PAGE 4
 025 C000004 D
 025 D000004 6586
 025 A000005 UBS SECURITIES LLC
 025 B000005 13-3873456
 025 C000005 D
 025 D000005 1925
 025 A000006 MERRILL LYNCH & CO., INC.
 025 B000006 13-2740599
 025 C000006 D
 025 D000006 11188
 025 A000007 JP MORGAN CHASE & CO.
 025 B000007 13-2624428
 025 C000007 D
 025 D000007 11736
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 026 B000000 Y
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 026 D000000 Y
 026 E000000 N
 026 F000000 N
 026 G010000 N
 026 G020000 N
 026 H000000 N
 027 000000 N
 045 000000 Y
 046 000000 N

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047 000000 Y
048 000000 1.050
049 000000 N
050 000000 N
051 000000 N
052 000000 N
053 A000000 N
054 A000000 Y
054 B000000 Y
054 C000000 Y
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054 F000000 N
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054 H000000 Y
054 I000000 N
054 J000000 Y
054 K000000 N
054 L000000 N
054 M000000 Y
054 N000000 N
054 O000000 Y
055 A000000 Y
055 B000000 Y
056 000000 Y
PAGE 5
057 000000 N
058 A000000 N
059 000000 Y
060 A000000 N
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062 C000000 0.0
062 D000000 0.0
062 E000000 0.0
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062 L000000 0.0
062 M000000 0.0
062 N000000 0.0
062 O000000 0.0
062 P000000 0.0
062 Q000000 91.6
062 R000000 54.5
063 B000000 3.0
064 A000000 N
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066 A000000 Y
066 B000000 N
066 C000000 N
066 D000000 N
066 E000000 N
066 F000000 Y
066 G000000 N
067 000000 N
068 A000000 N
068 B000000 N

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| | | | |
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| 069 | 000000 | N | |
| 070 | A010000 | Y | |
| 070 | A020000 | N | |
| 070 | B010000 | Y | |
| 070 | B020000 | N | |
| 070 | C010000 | N | |
| 070 | C020000 | N | |
| 070 | D010000 | N | |
| 070 | D020000 | N | |
| 070 | E010000 | Y | |
| 070 | E020000 | N | |
| 070 | F010000 | Y | |
| 070 | F020000 | N | |
| 070 | G010000 | Y | |
| 070 | G020000 | N | |
| | PAGE | 6 | |
| 070 | H010000 | Y | |
| 070 | H020000 | N | |
| 070 | I010000 | Y | |
| 070 | I020000 | N | |
| 070 | J010000 | Y | |
| 070 | J020000 | Y | |
| 070 | K010000 | Y | |
| 070 | K020000 | Y | |
| 070 | L010000 | Y | |
| 070 | L020000 | Y | |
| 070 | M010000 | Y | |
| 070 | M020000 | Y | |
| 070 | N010000 | Y | |
| 070 | N020000 | N | |
| 070 | O010000 | Y | |
| 070 | O020000 | Y | |
| 070 | P010000 | N | |
| 070 | P020000 | N | |
| 070 | Q010000 | N | |
| 070 | Q020000 | N | |
| 070 | R010000 | Y | |
| 070 | R020000 | N | |
| 071 | A000000 | | 76969 |
| 071 | B000000 | | 81603 |
| 071 | C000000 | | 189522 |
| 071 | D000000 | 41 | |
| 072 | A000000 | 12 | |
| 072 | B000000 | | 9837 |
| 072 | C000000 | | 3189 |
| 072 | D000000 | | 0 |
| 072 | E000000 | | 0 |
| 072 | F000000 | | 2016 |
| 072 | G000000 | | 309 |
| 072 | H000000 | | 0 |
| 072 | I000000 | | 29 |
| 072 | J000000 | | 23 |
| 072 | K000000 | | 0 |
| 072 | L000000 | | 21 |
| 072 | M000000 | | 81 |
| 072 | N000000 | | 0 |
| 072 | O000000 | | 63 |
| 072 | P000000 | | 994 |
| 072 | Q000000 | | 0 |
| 072 | R000000 | | 31 |
| 072 | S000000 | | 75 |
| 072 | T000000 | | 0 |

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| | |
|-------------|--------------------------|
| 072 U000000 | 0 |
| 072 V000000 | 0 |
| 072 W000000 | 83 |
| 072 X000000 | 3725 |
| 072 Y000000 | 0 |
| PAGE 7 | |
| 072 Z000000 | 9301 |
| 072AA000000 | 2135 |
| 072BB000000 | 0 |
| 072CC010000 | 0 |
| 072CC020000 | 5349 |
| 072DD010000 | 12006 |
| 072DD020000 | 0 |
| 072EE000000 | 0 |
| 073 A010000 | 1.7400 |
| 073 A020000 | 0.0000 |
| 073 B000000 | 0.0000 |
| 073 C000000 | 0.0000 |
| 074 A000000 | 0 |
| 074 B000000 | 0 |
| 074 C000000 | 0 |
| 074 D000000 | 0 |
| 074 E000000 | 0 |
| 074 F000000 | 66177 |
| 074 G000000 | 0 |
| 074 H000000 | 0 |
| 074 I000000 | 125503 |
| 074 J000000 | 526 |
| 074 K000000 | 0 |
| 074 L000000 | 1030 |
| 074 M000000 | 38 |
| 074 N000000 | 193274 |
| 074 O000000 | 1574 |
| 074 P000000 | 193 |
| 074 Q000000 | 0 |
| 074 R010000 | 0 |
| 074 R020000 | 0 |
| 074 R030000 | 0 |
| 074 R040000 | 60247 |
| 074 S000000 | 0 |
| 074 T000000 | 131260 |
| 074 U010000 | 6900 |
| 074 U020000 | 0 |
| 074 V010000 | 19.02 |
| 074 V020000 | 0.00 |
| 074 W000000 | 0.0000 |
| 074 X000000 | 3 |
| 074 Y000000 | 0 |
| 075 A000000 | 0 |
| 075 B000000 | 132801 |
| 076 000000 | 16.62 |
| 077 A000000 | Y |
| 077 B000000 | Y |
| 078 000000 | N |
| 080 A000000 | ICI MUTUAL INSURANCE CO. |
| 080 C000000 | 750 |
| 081 A000000 | N |
| PAGE 8 | |
| 082 A000000 | N |
| 083 A000000 | N |
| 084 A000000 | N |
| 085 A000000 | Y |

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085 B000000 N
086 A010000 0
086 A020000 0
087 A010000 COMMON STOCK
087 A020000 74255X104
087 A030000 PGZ
088 A000000 N
088 B000000 N
088 C000000 N
088 D000000 N
SIGNATURE Patrick Buchanan
TITLE Treasurer