

VIRTUS INVESTMENT PARTNERS, INC.

Form 4

March 19, 2012

FORM 4**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
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if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
AYLWARD GEORGE R

(Last) (First) (Middle)

C/O VIRTUS INVESTMENT
PARTNERS, INC., 100 PEARL
STREET

(Street)

HARTFORD, CT 06103

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading
SymbolVIRTUS INVESTMENT
PARTNERS, INC. [VRTS]3. Date of Earliest Transaction
(Month/Day/Year)
03/15/20124. If Amendment, Date Original
Filed(Month/Day/Year)5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)
President, CEO & Director6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock, par value \$0.01 per share	03/15/2012		A	19,204 (1)	A \$ 0 122,505.695	D	
Common Stock, par value \$0.01 per share	03/15/2012		A	2,438 (2)	A \$ 0 124,943.695	D	

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Common
Stock, par
value
\$0.01 per
share

03/15/2012

F

9,172
(3)

D

\$ 82.03
(4)

115,771.695

D

Common
Stock, par
value
\$0.01 per
share

70.031

I

By 401(k)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repor Trans (Instr
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Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Code	V	(A)	(D)

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

AYLWARD GEORGE R
C/O VIRTUS INVESTMENT PARTNERS, INC.
100 PEARL STREET
HARTFORD, CT 06103

X

President,
CEO &
Director

Signatures

/s/Mark S. Flynn,
Attorney-in-Fact

03/19/2012

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares comprise an award of restricted stock units ("RSUs") granted to the reporting person pursuant to the Company's 2011 Long Term Incentive Plan. Subject to acceleration in certain circumstances, the RSUs are scheduled to cliff vest on March 15, 2014 and will be settled for shares of common stock on a one-for-one basis upon vesting.
- (2) These shares comprise an award of RSUs granted to the reporting person. Subject to acceleration in certain circumstances, the RSUs are scheduled to cliff vest on March 15, 2015 and will be settled for shares of common stock on a one-for-one basis upon vesting.
- (3) Exempt disposition to the Issuer under Rule 16b-3(e) to satisfy tax withholding obligations arising out of the vesting of a RSU award.
- (4) This number includes (i) 916,176 shares acquired in connection with the Issuer's Employee Stock Purchase Plan; (ii) 34,680 RSUs that are scheduled to cliff vest on April 20, 2012; (iii) 13,496 RSUs that are scheduled to cliff vest on March 15, 2013 and (iv) 2,000 RSUs that are scheduled to cliff vest on March 15, 2014. RSUs will be settled for shares of common stock on a one-for-one basis upon vesting.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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